

# Application of Computational Intelligence to Mechanical Engineering

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*Sapienza* University of Rome,  
founded in 1303  
by Pope Boniface VIII

## Department of Mechanical and Aerospace Engineering

*created in 2010 by merging the former  
Department of Mechanical and Aeronautical  
Engineering (DMA) with part of the  
Department of Aerospace and Astronautical  
Engineering (DIAA)*



# Outline of this presentation

## Part I

- Computational Intelligence (CI)
  - Methods and Tools (brief review)
- Topics in Mechanical Engineering (ME)
  - Topics
- Applications of CI to ME

## Part II

- NN and Wear Prediction
- GA and MEMS Design



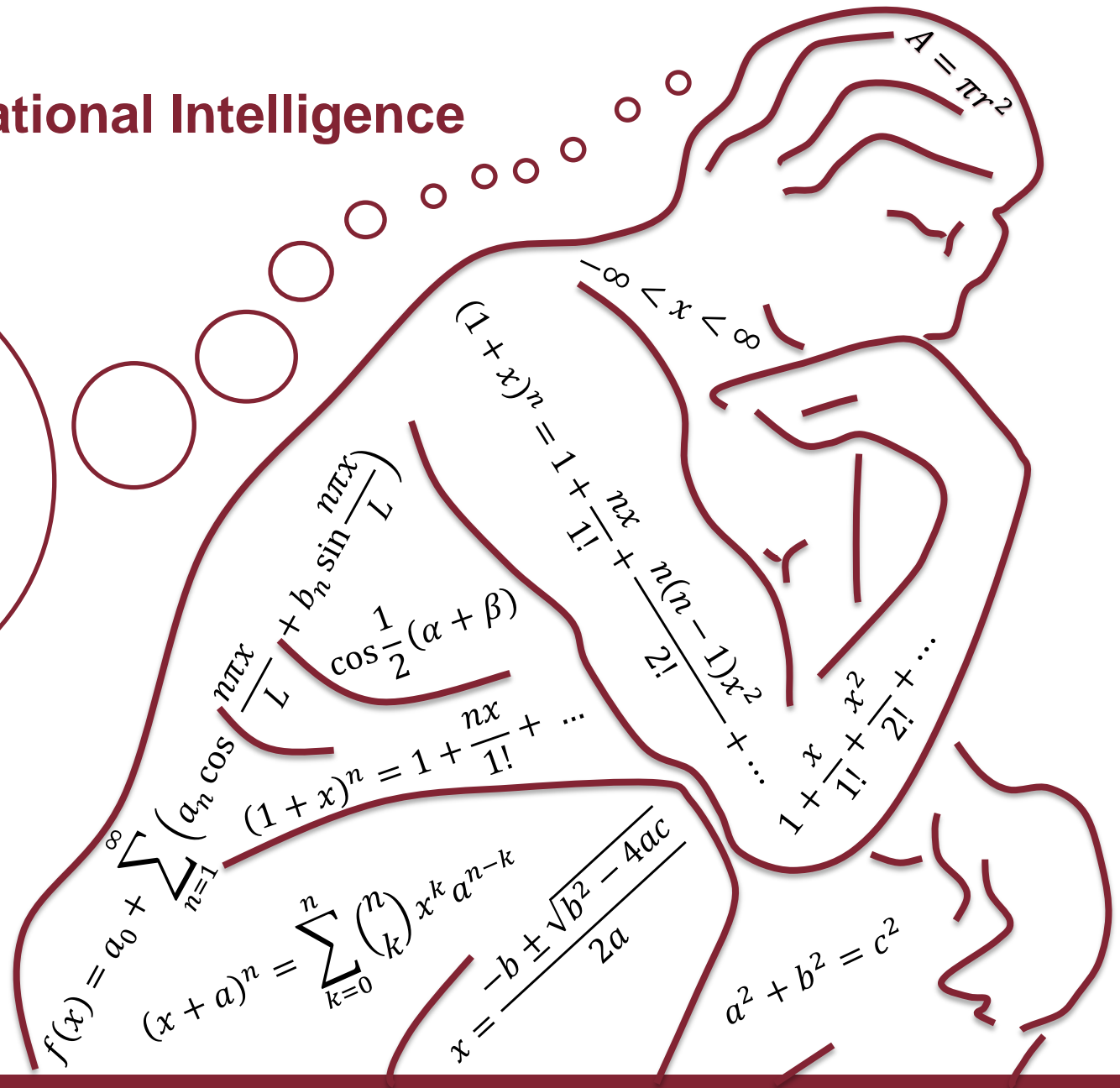
# Part I

## Applications of Computational Intelligence to Mechanical Engineering

*based on Ref. [1]*



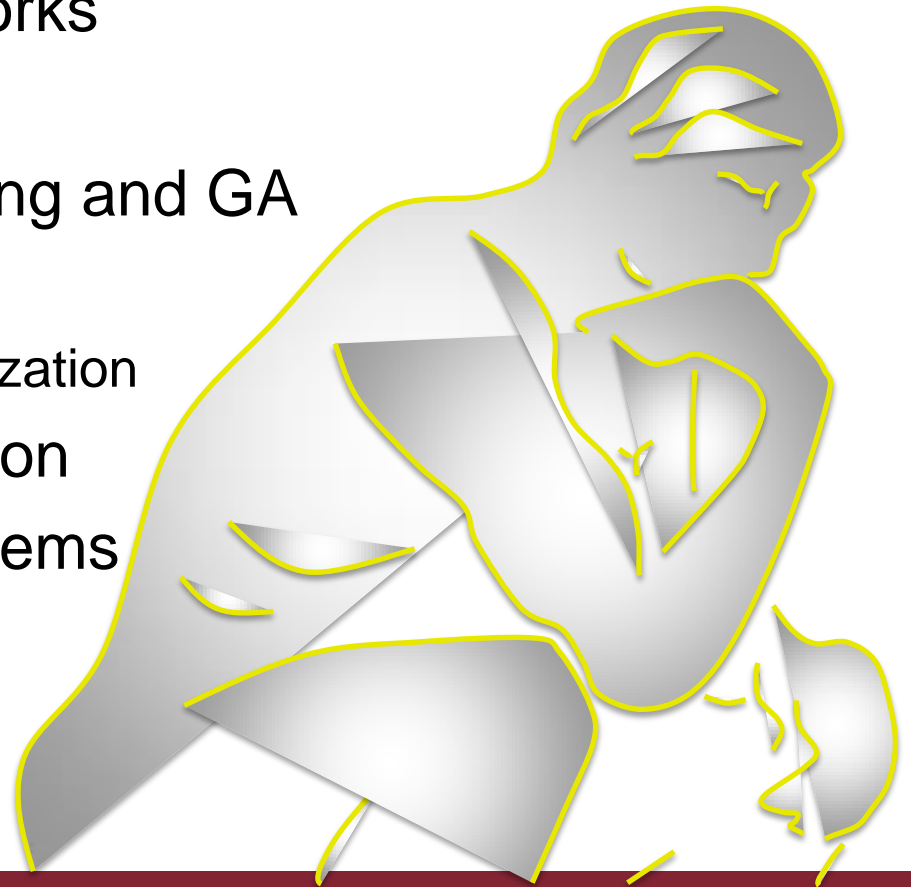
# Computational Intelligence



# Tools and Methods of Computational intelligence

*(a selection)*

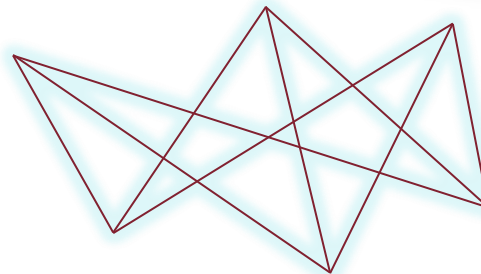
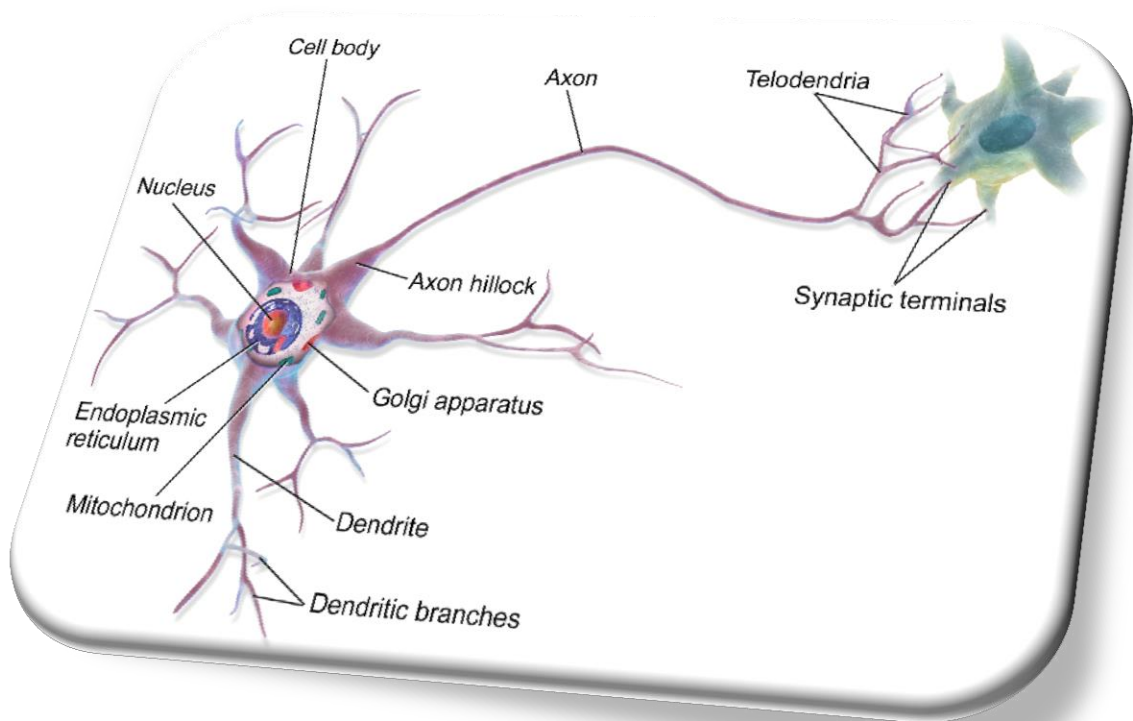
- Artificial Neural Networks
- Fuzzy Logic
- Evolutionary Computing and GA
- Swarm Intelligence
  - Particle Swarm Optimization
- Ant Colony Optimization
- Artificial Immune Systems



# Artificial Neural Networks

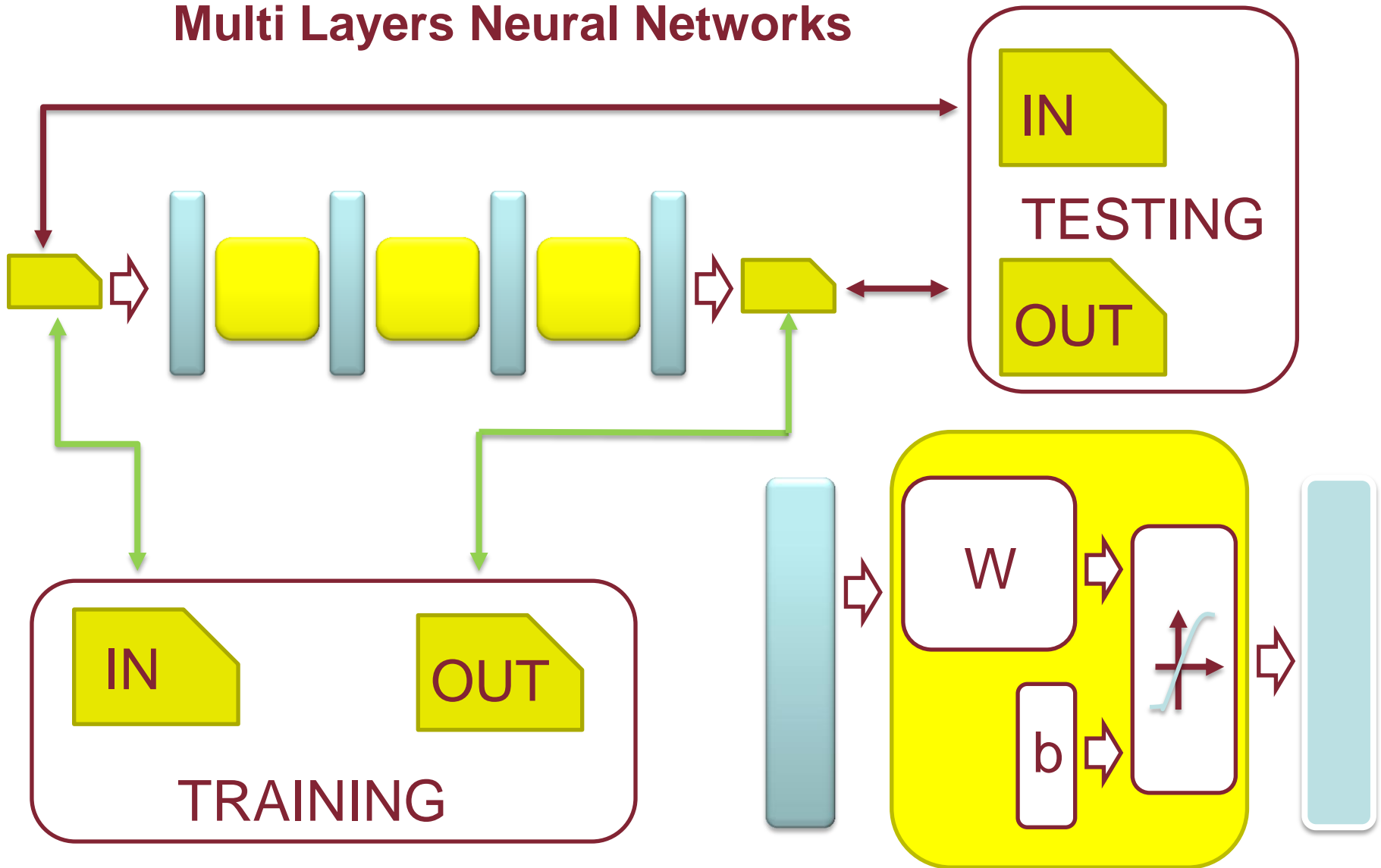
## Topology

- Single or
- Multi-layers
- Feed-back
- Feed-forward
- Recurrent



Attribution: Blausen\_0657 By BruceBlaus (Own work) [CC-BY-3.0 (<http://creativecommons.org/licenses/by/3.0/>)], via Wikimedia Commons

# Multi Layers Neural Networks





# ANN Parameters and Procedures



## Weight Matrices and Bias Arrays

- Bias functions:
  - sigmoid, hyperbolic tangent, signum, linear
- Training:
  - Levenberg-Marquardt backpropagation, Bayesian regulation backpropagation, Scaled conjugate gradient backpropagation, Resilient backpropagation

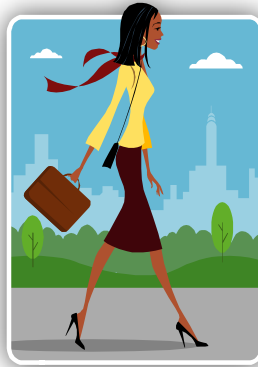
# Neural Networks

## Usual Applications

- Data fitting
- Patterns classification
- Prediction and modeling
- Time series prediction and modeling
- Data clustering



# Fuzzy Logic



Old ?

Young ?

Cold ?



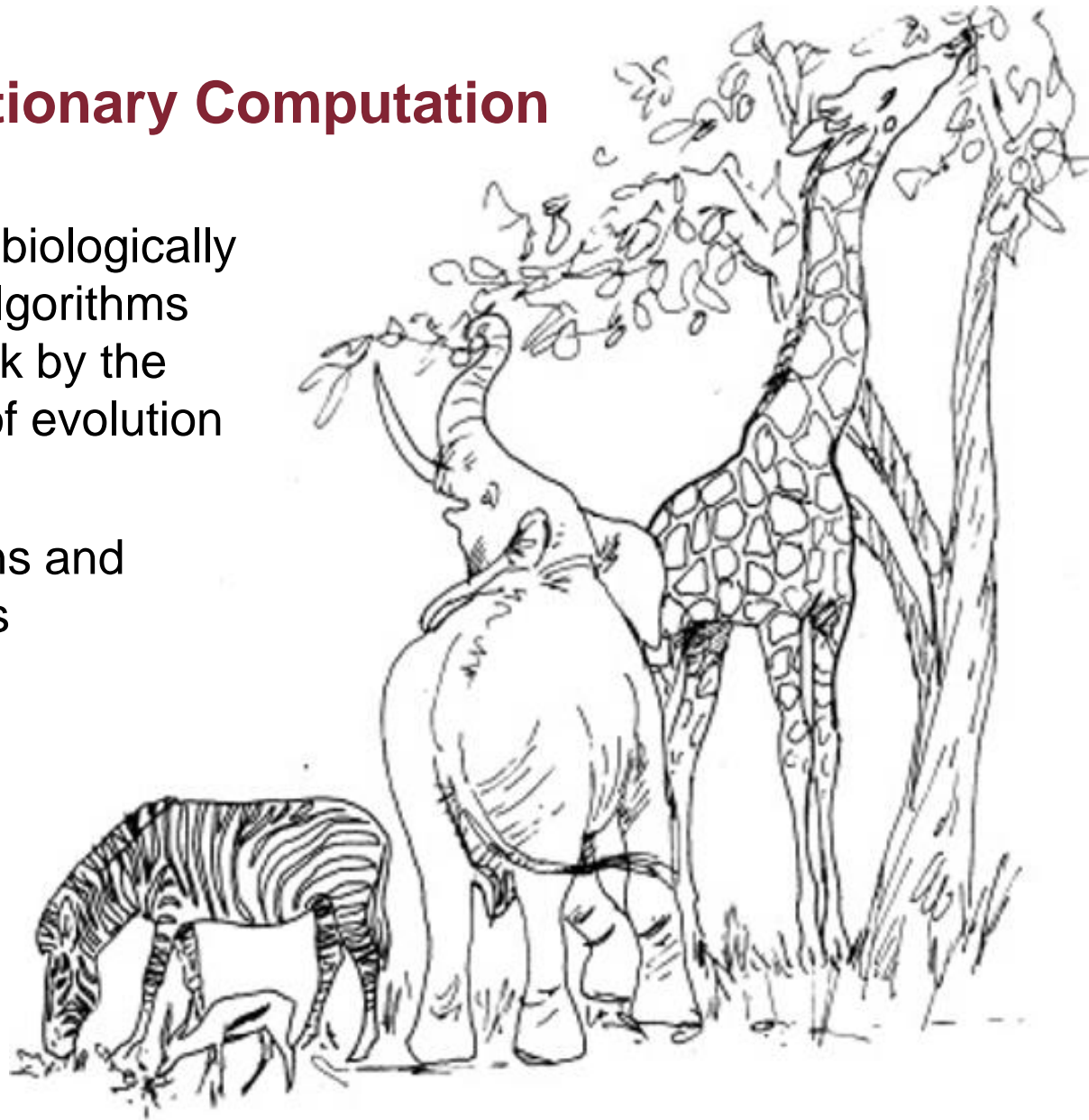
Warm ?

# Fuzzy Systems and Fuzzy Sets

- Membership grade and functions
  - Discrete or Continuous (Gaussian, triangular, S-function, L-function,  $\Pi$ -function or  $\gamma$ -function)
- Operations
  - T-Norm and S-Norm, Complementation
- Compositions
  - Max- Min or Max-Product
- Sets
  - Support, Core, Normal,  $\alpha$ -cut

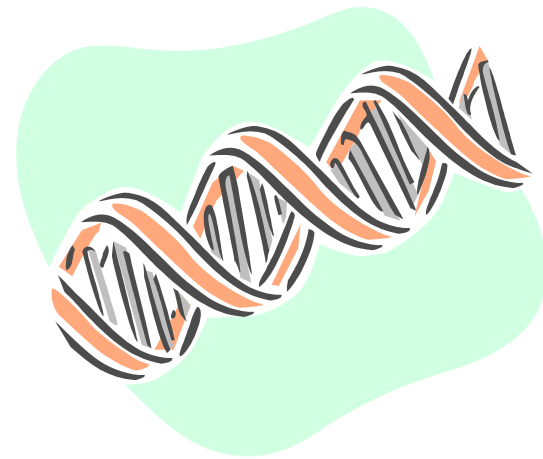
# Evolutionary Computation

- a class of biologically inspired algorithms which work by the principle of evolution
- populations and individuals
- fitness



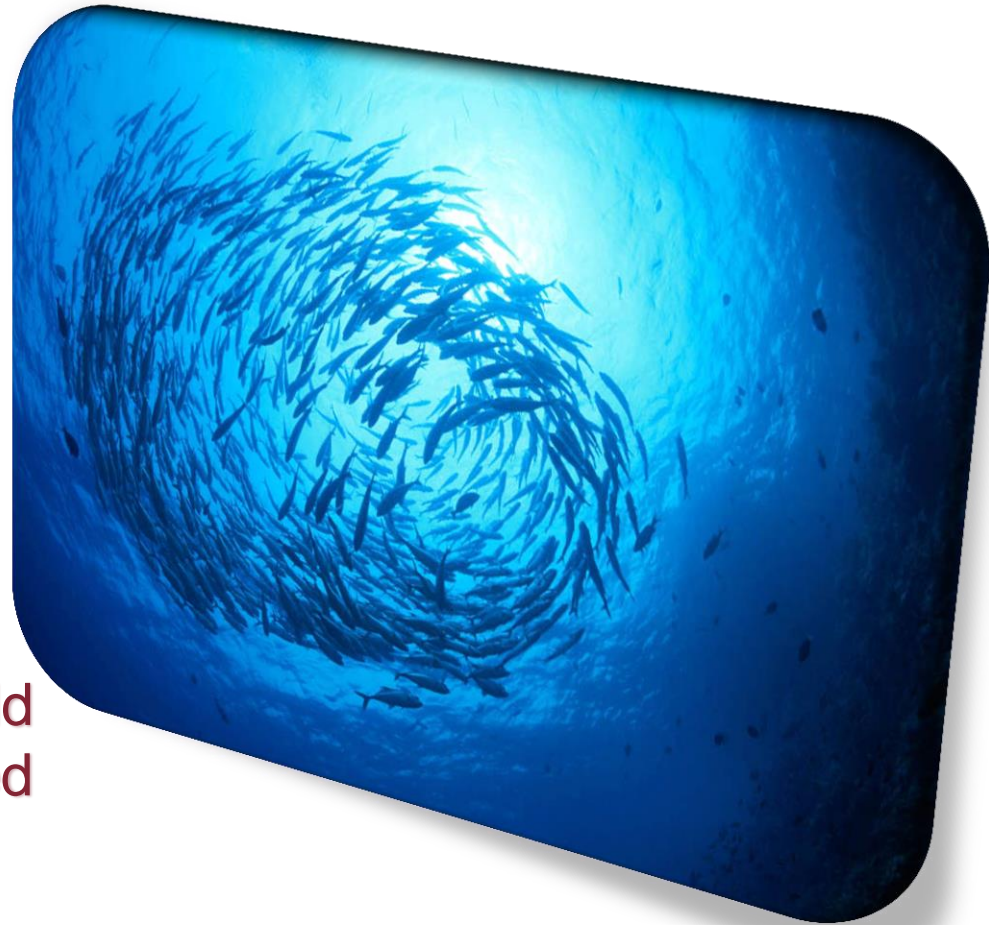
# Genetic Algorithms

- Genotype and phenotype
- Genotype encodings (binary, real)
- Genotype operators (crossover, mutation, elitism)
- Natural selection
- Generations



# Swarm Intelligence – Particle Swarm Optimization

- Collective system capable of accomplishing difficult tasks in dynamic and varied environments without any external or central control
- Achieving a collective performance which could not normally be achieved by an individual acting alone



<http://www.speedmango.com/>



# Swarm Intelligence – Particle Swarm Optimization

*Member of the family of Evolutionary Computing*

*Swarm moves within a multi-dimensional space in search of a global minimum*

*Swarm moves according to rules (repulsion, attraction and aligning)*

*Motion strategy (position, velocity and acceleration)*

*Possible body characteristics for individuals*

*Memory of individual and global best position*

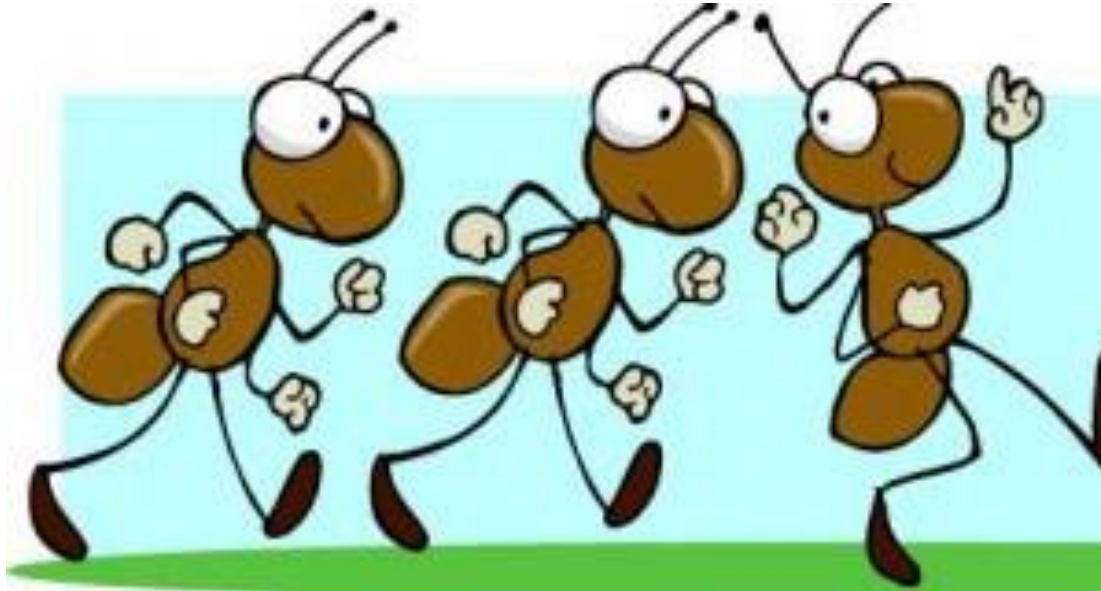


<http://www.thepistrophe.com/bird-ballet-swarming-video-by-neels-castillon/>



# Ant Colony Optimization

*Member of Swarm Intelligence*



<http://rollingtstores.net/sugar-ants-in-the-kitchen/>

# Ant Colony Optimization

## Basic mechanisms

- Pheromones marking
- Pheromones accumulation on the fastest path from the nest to food

## Typical applications

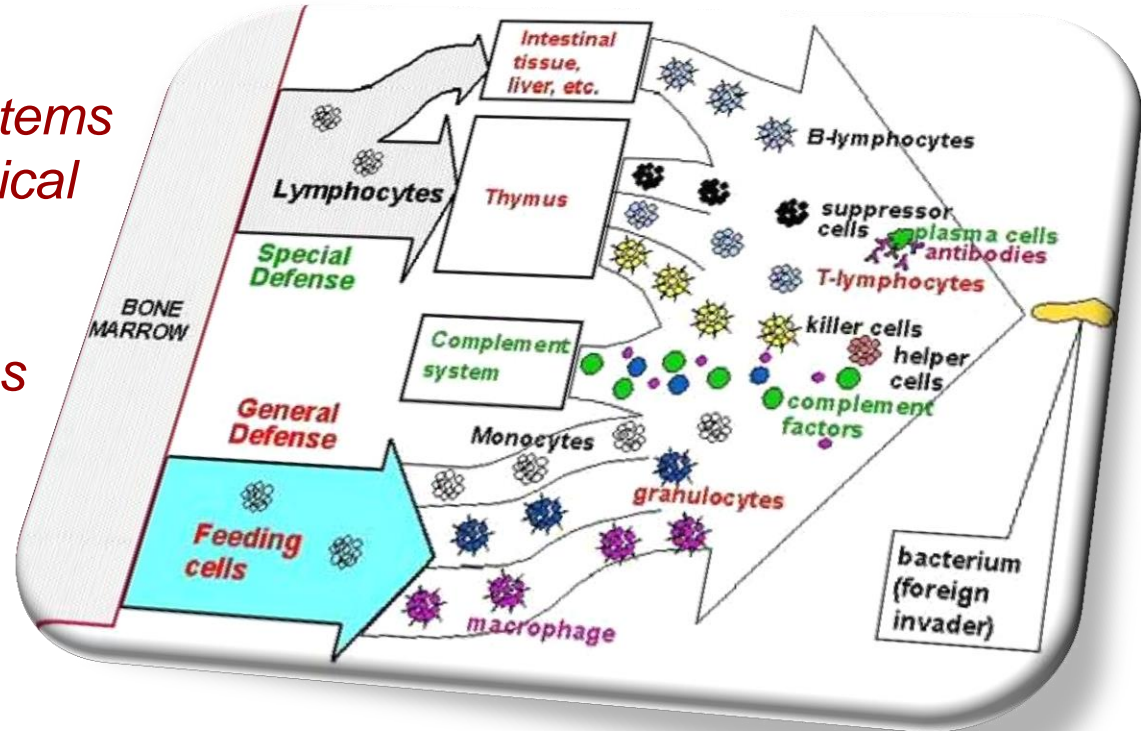
- Traveling Salesman
- vehicle routing
- sequential ordering
- graph coloring



<http://knowledgefeed.blogspot.it/>

# Artificial Immune Systems

*Computational systems inspired by theoretical immunology and imitating immune functions, principles and models, to solve problems*



Antibody mutual affinity; antibody concentration;  
antibody-antigen affinity;  
Antibody natural decay  
Antibody stimulation or suppression

# Soft Computing

*a collection of methodologies, complementary and **synergistic**, which provides effective tools for the development of intelligent systems*

- neuro-fuzzy,
- neuro-genetic,
- fuzzy-genetic,
- neuro-belief networks,
- fuzzy-belief networks,
- neuro-fuzzy-genetic
- ... ..



# Selected topics from Mechanical Engineering

- Robotics
- Mechanisms, Machine Theory and Design
- Tribology
- Energetic Systems and Power Production
- Fluid Dynamics
- Materials
- Mechanical Vibrations

# Adopted queries



## Main search criteria (restrictive)



1. paper *topic* has been identified by the journal or conference title (namely, by the presence of keywords in the *source title*) where the article has been published
2. paper *relevancy to CI methods or tools* has been detected by article *title*

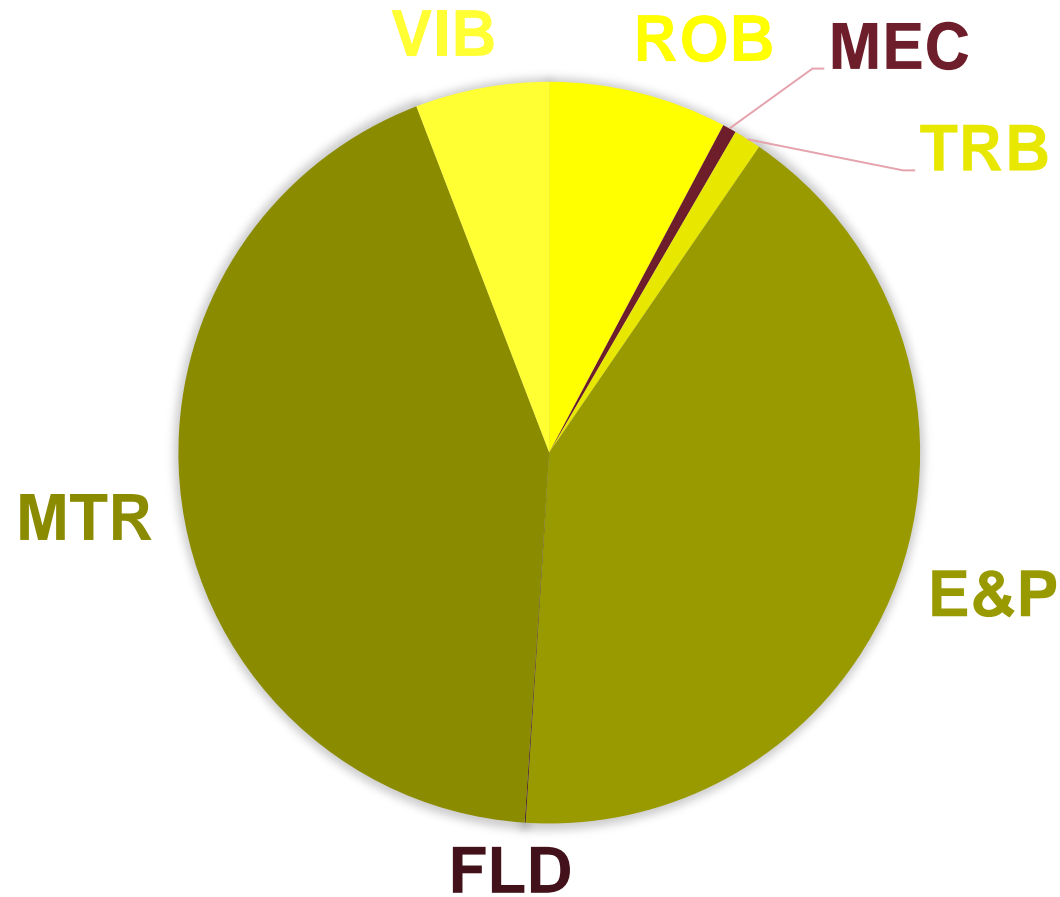
## About the 1,705,201 analyzed papers

	TOT	CI	CI%	OPT	OPT%
ROB	26,390	1,035	3.92%	389	1.47%
MEC	8,646	80	0.93%	394	4.56%
TRB	40,402	159	0.39%	216	0.53%
E&P	317,230	5,515	1.74%	6,061	1.91%
FLD	10,849	5	0.05%	38	0.35%
MTR	1,260,384	5,737	0.45%	3,805	0.30%
VIB	41,300	776	1.88%	655	1.59%

*Legend (first column): ROB = Robotics; MEC = Mechanisms, machines and Design; TRB = Tribology; E&P = Energy and Power; FLD = Fluid dynamics; MTR = Materials; VIB = Vibrations.*  
*Legend (first row): TOT = total analyzed; CI = pertinent to Computational Intelligence; OPT = reference standard search concerning optimization*

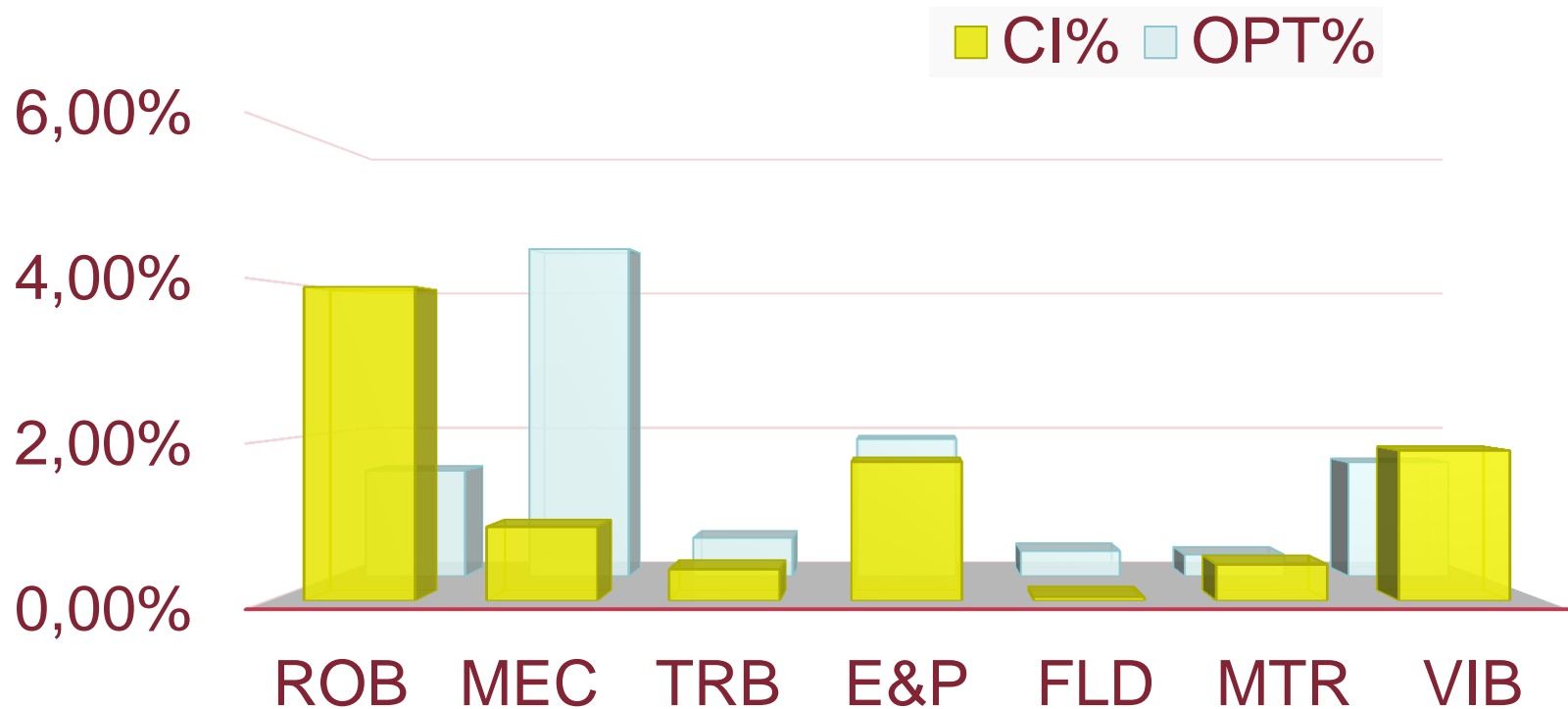
About 13,307 papers

## PERTINENT TO CI





About the 1,705,201 analyzed papers



## Statistical data concerning 13,307 out of 1,705,201 analyzed papers (detail)

	<b>CI</b>	<b>NN</b>	<b>EC&amp;GA</b>	<b>SW</b>	<b>AS</b>	<b>FZ</b>	<b>TOT-P</b>
<b>ROB</b>	3	362	144	52	4	470	1,035
<b>MEC</b>	1	17	38	2	0	22	80
<b>TRB</b>	0	110	18	3	0	28	159
<b>E&amp;P</b>	13	1,947	941	512	13	2,089	5,515
<b>FLD</b>	0	3	2	0	0	0	5
<b>MTR</b>	14	3,624	1,664	57	18	360	5,737
<b>VIB</b>	2	318	156	47	1	252	776

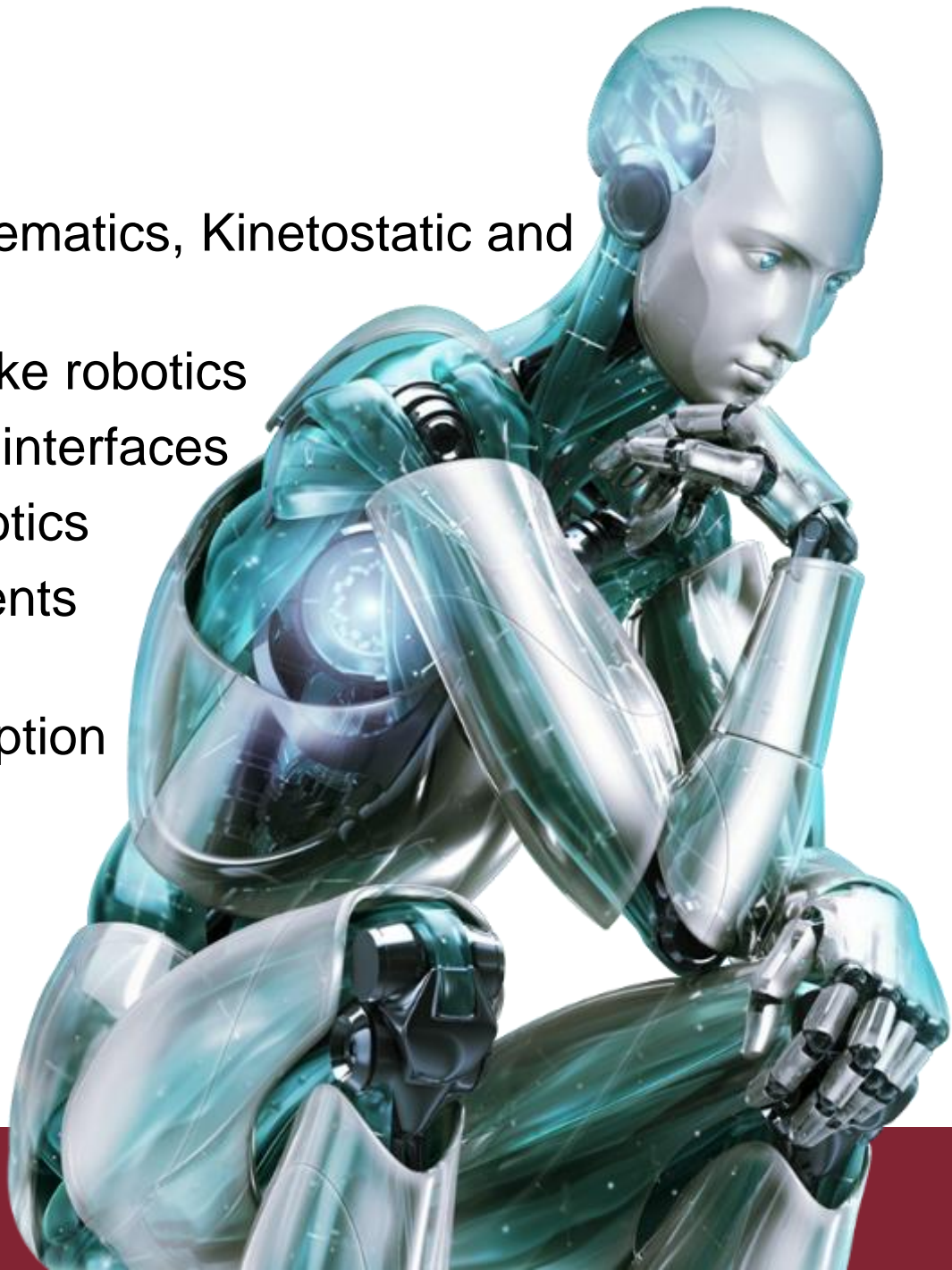
*Legend (first row) CI= general approaches based on Computational Intelligence; NN = (Artificial) Neural Networks; EC and GA = Evolutionary Computing and Genetic Algorithms; SW= Swarm Intelligence; AS = Artificial Immune Systems; FZ = fuzzy; TOT-P = total pertinent works*

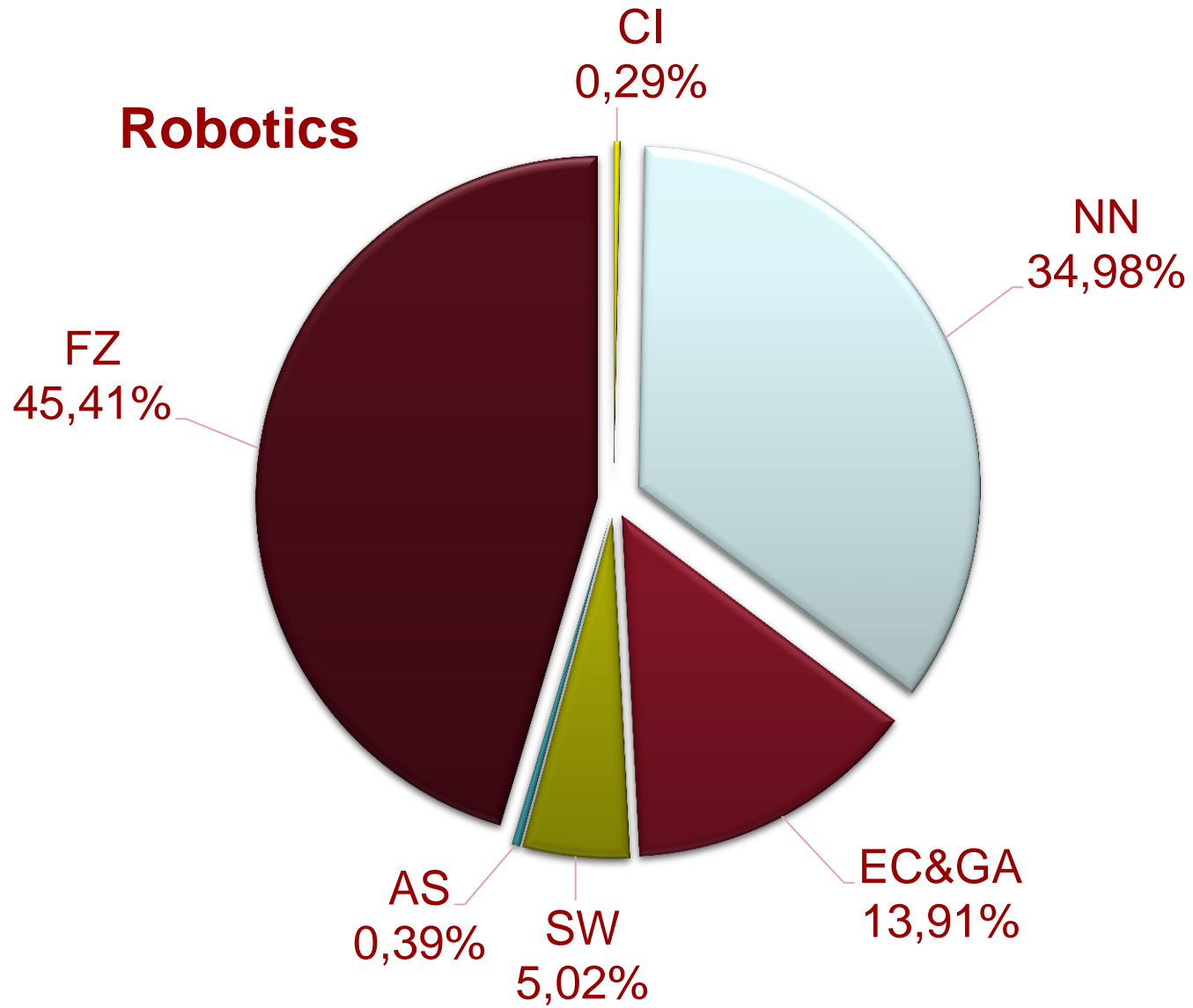
# References

	<b>CI</b>	<b>NN</b>	<b>EC&amp;GA</b>	<b>SW</b>	<b>AS</b>	<b>FZ</b>
<b>ROB</b>	[110-112]	[124-134]	[135-159]	[166-175]	[163-165]	[117-123]
<b>MEC</b>	[176]	[218-229]	[197-217]	[244-245]	-	[230-243]
<b>TRB</b>	-	[247-260]	[261-266]	[272-273]	-	[267-271]
<b>E&amp;P</b>	[275-280]	[283-301]	[321-330]	[331-351]	[352-364]	[302-318]
<b>FLD</b>	0	[369-371]	[372-373]	-	-	-
<b>MTR</b>	[374-377]	[384-391]	[392-403]	[418-423]	[424-427]	[404-410]
<b>VIB</b>	-	[434-442]	[443-451]	[461-465]	-	[452-460]
<b>(HM)</b>	-	[466-468]	[469-471]	-	-	-

# Robotics

- Workspace, Topology, Kinematics, Kinetostatic and Dynamics
- Human-centered and life-like robotics
- Manipulation, Contact and interfaces
- Mobile and distributed robotics
- Robot structures, components and actuators
- Sensing, Vision and Perception
- Field and service robotics
- Mechanical Design
- Control





# Mechanisms, machines and Design *(no thermodynamics)*

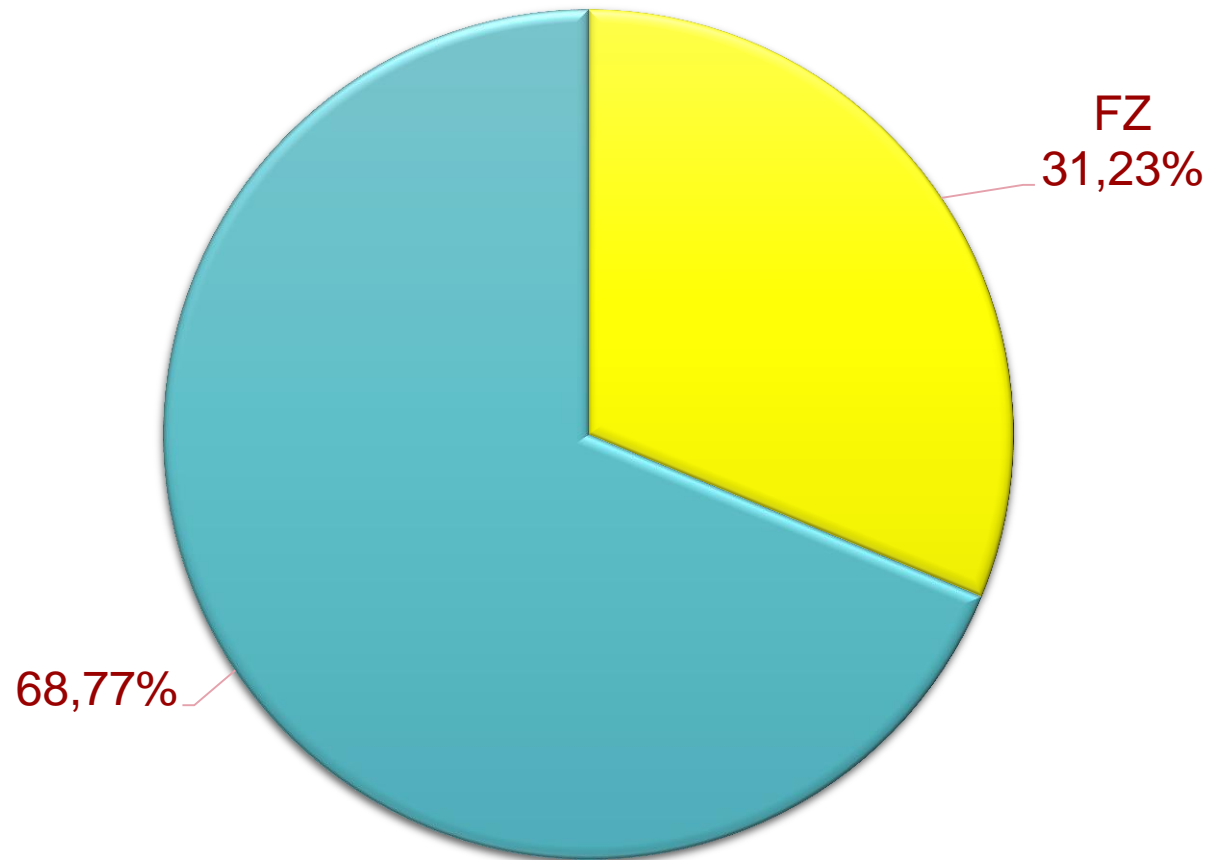
- Mechanisms Science (topology, kinematic analysis and synthesis, kinetostatics, dynamic analysis)
- MBS Dynamics
- Transmissions
- Bearings
- Brakes
- Creative Design
- Cams-Follower Systems
- Gears

More in general

- Design
- FEA
- Fatigue



## Mechanisms, Machines and Design





# Tribology

Wear, Friction and Lubrication

Contact mechanics

Nano-tribology

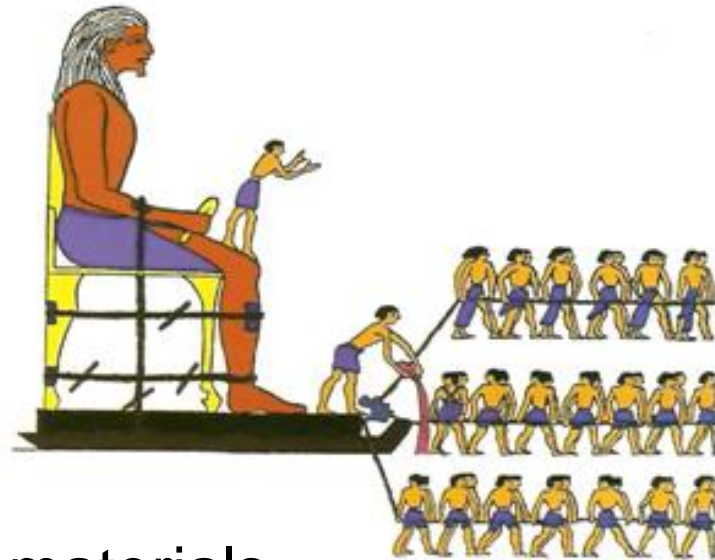
Bio-tribology

Lubricants

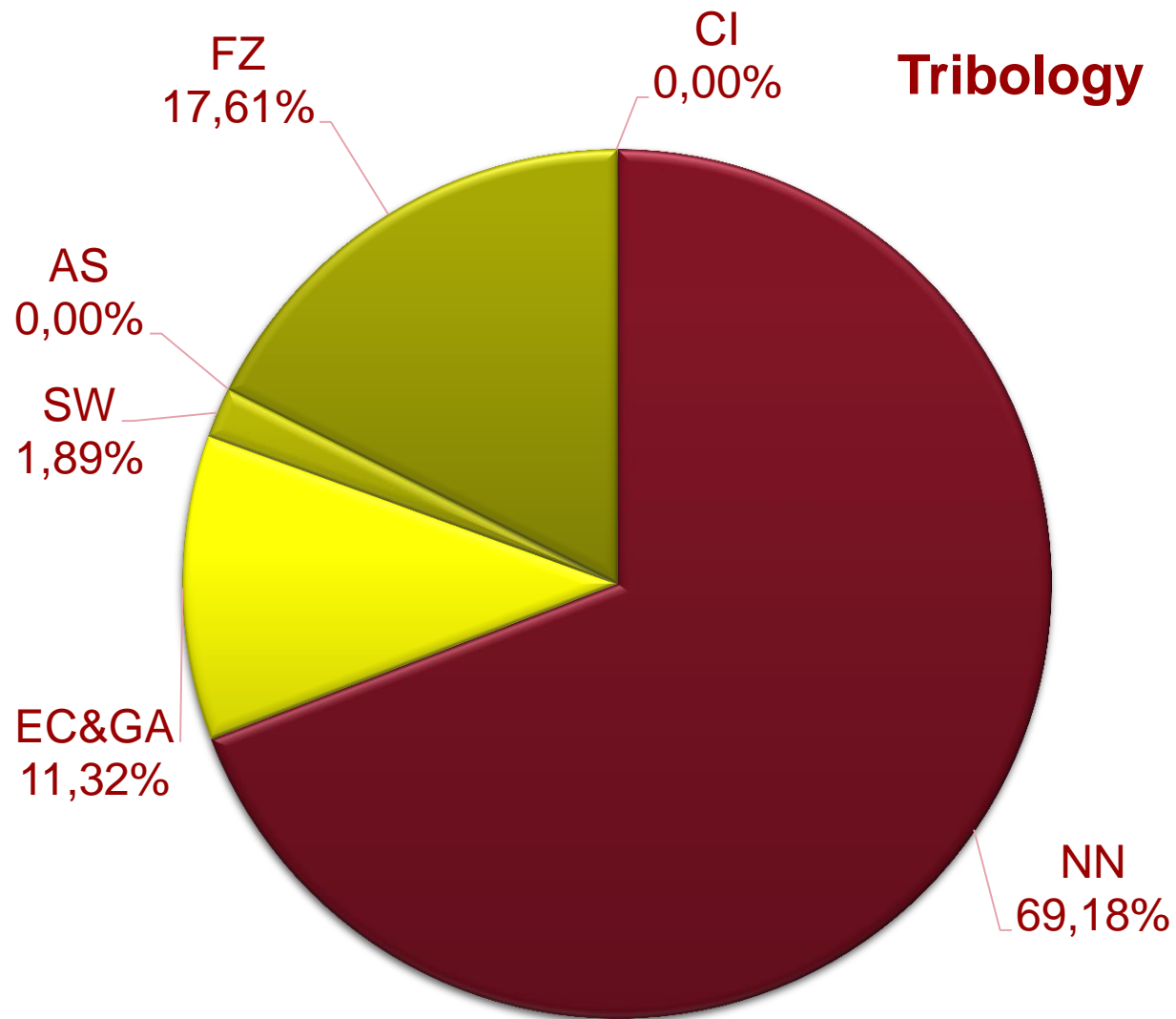
HD and EHD lubrication

Surface engineering and materials

Physics and Chemistry of tribo-surfaces

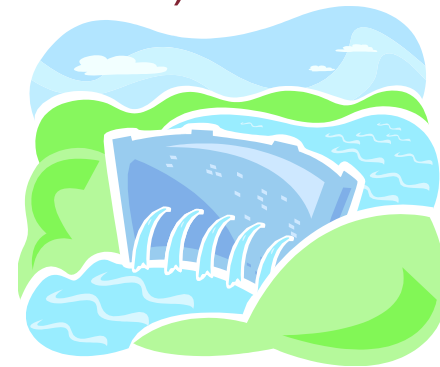




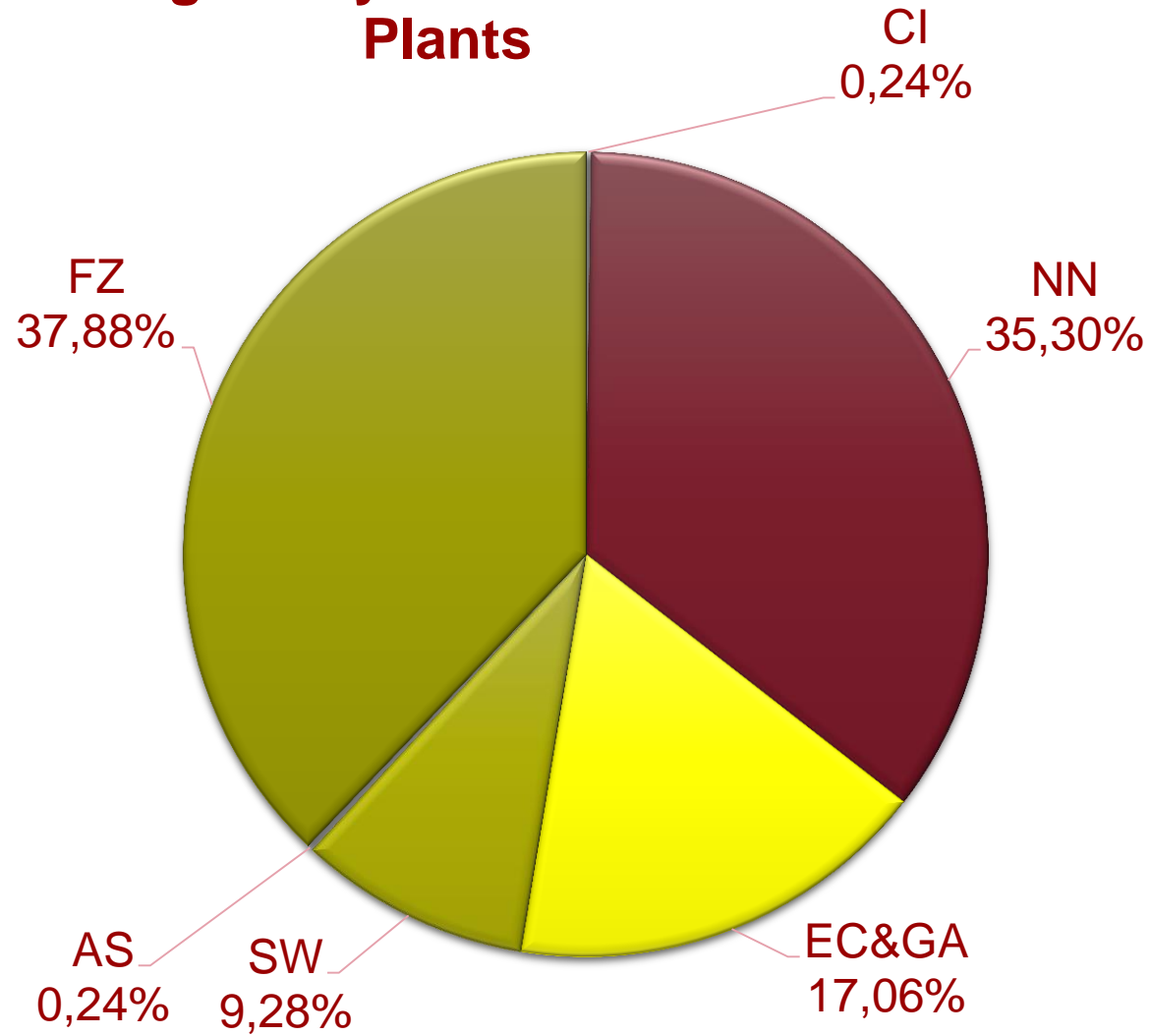


# Energetic Systems and Power Production

- Fuels, Combustion & Material Handling
- Renewable Energy (Wind, Solar & Geothermal)
- Sustainability
- Steam Generators
- Heat Exchangers & Cooling Systems
- Turbines, Generators & Auxiliaries
- Plant Operations & Maintenance
- Reliability, Availability & Maintenance
- Plant Systems, Structures, Components & Materials
- Simple & Combined Cycles
- Low/Emission Power Plants and Carbon Capture & Sequestration



## Energetic Systems and Power Plants

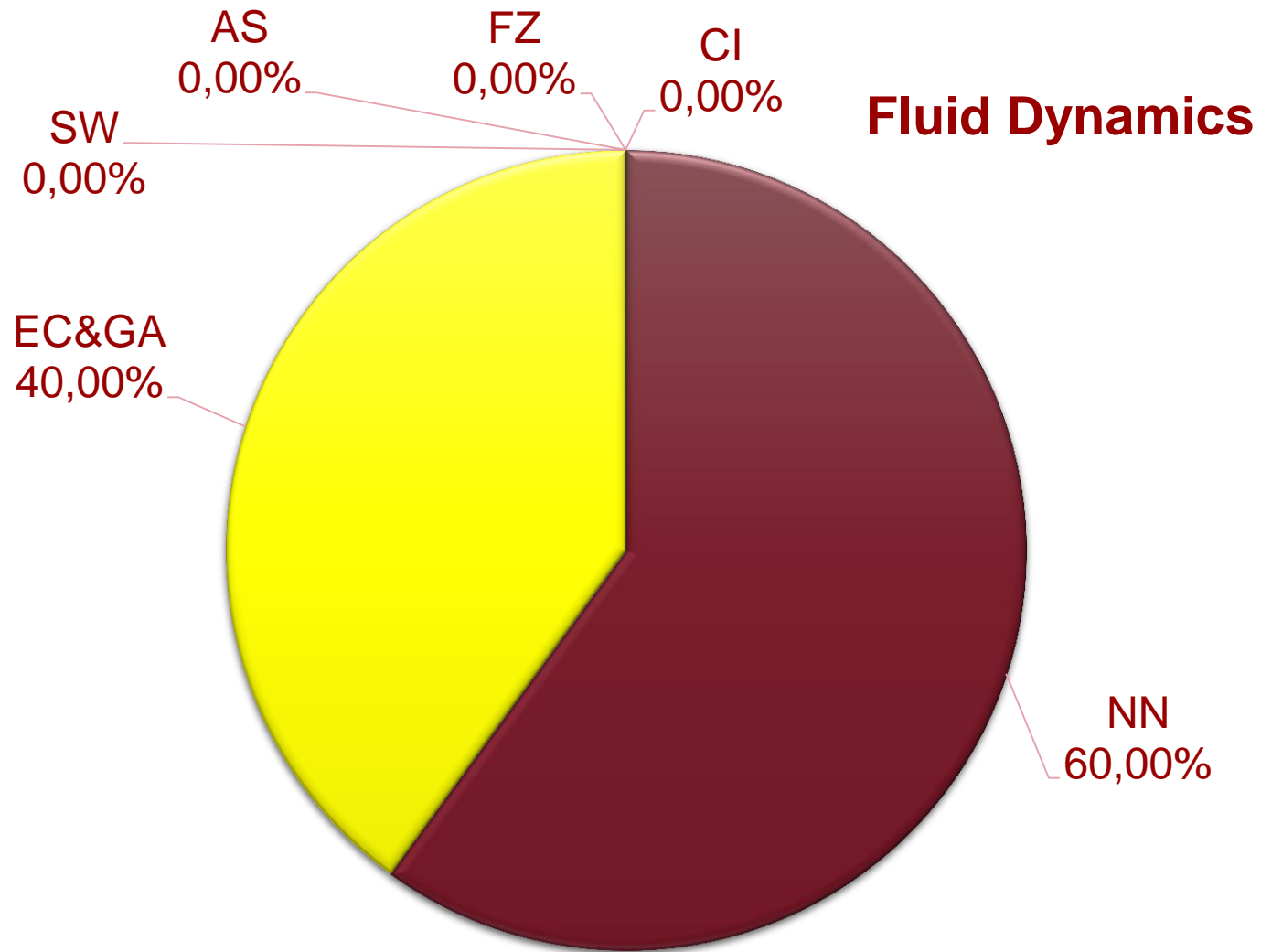


# Fluid Dynamics



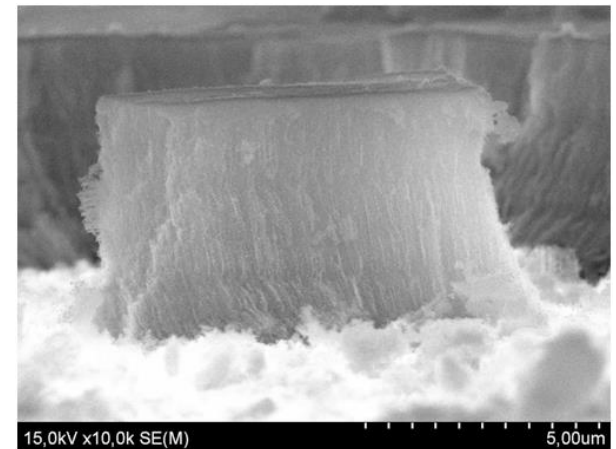
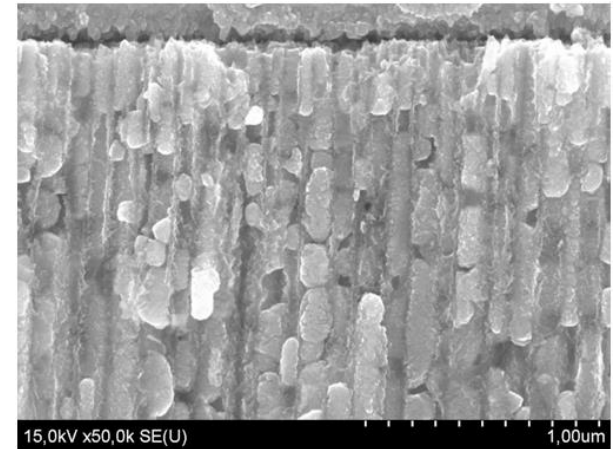
- Numerical Methods in Fluid Flow and Heat Transfer
- Laminar and Turbulent Flow
- Experimental Fluid Dynamics
- Boundary Layer and Free Surface Flows
- Combustion and Reacting Flows
- Industrial Fluid Mechanics
- Heat Transfer, Air Conditioning and Refrigeration
- Micro / Nano Heat Transfer and Fluid Mechanics
- Multi-Phase Flow

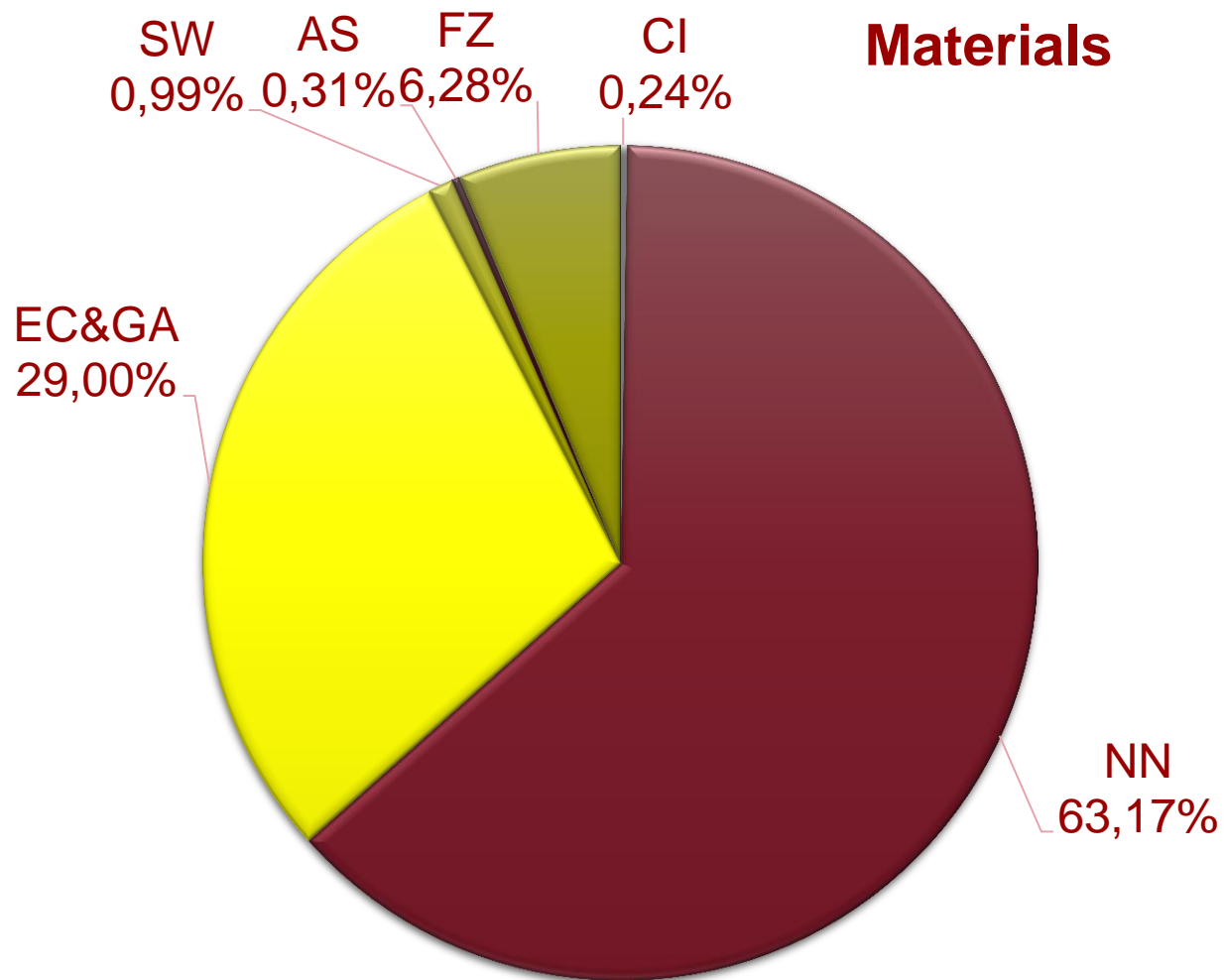
<http://www.blosint.com/dir/wp-content/uploads/2013/04/computational-fluid-dynamics.png>



# Materials

- Material science
- Material characterization
- Nanoscience and nanotechnology
- Bio and bio inspired materials
- Materials for energy
- New materials for specific applications
- Magnetic and electronics materials
- Metallurgy and Metallography



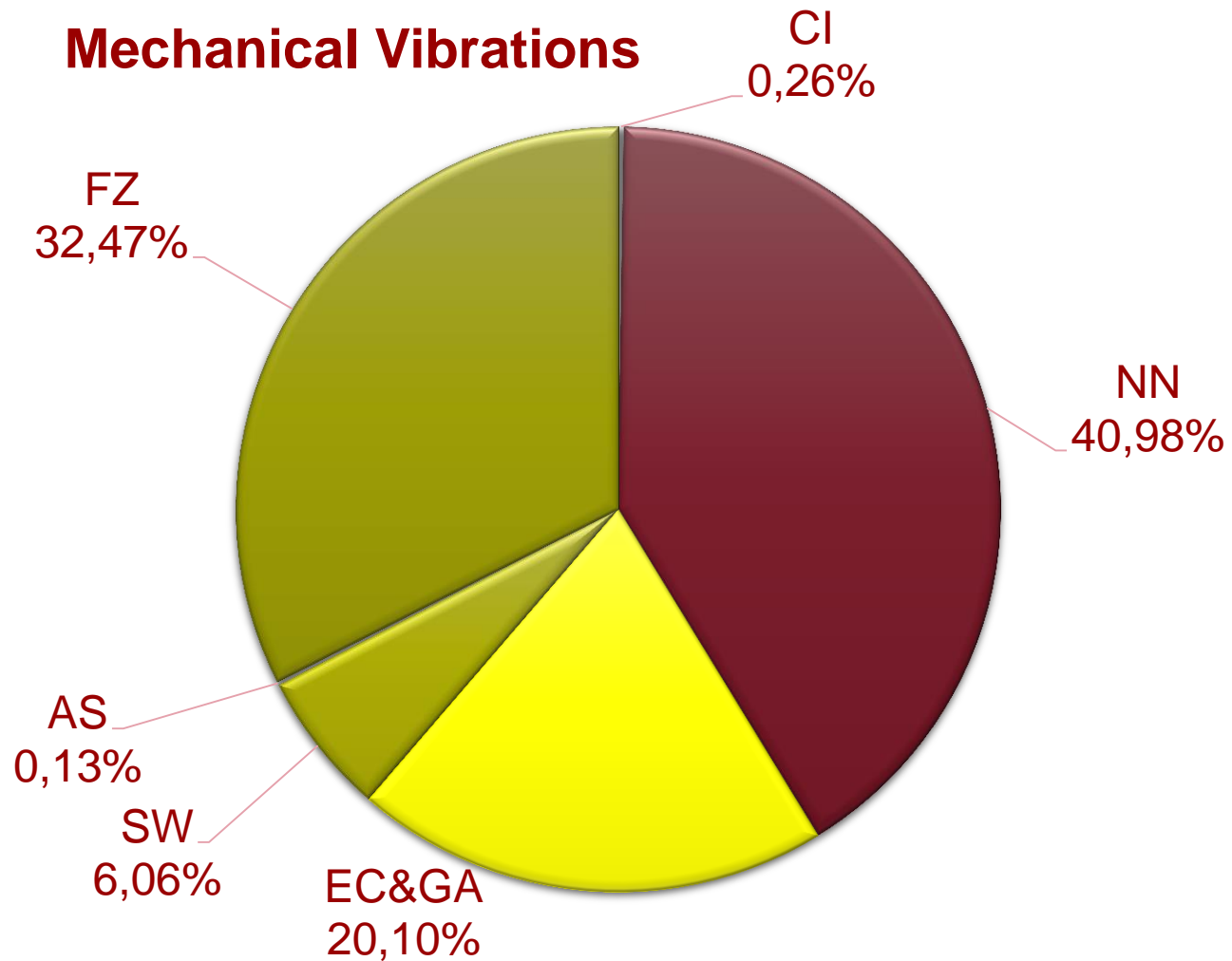


# Mechanical Vibrations

- Modal testing and Frequency Response Function
- Operational modal analysis
- Self-excited vibrations
- Damage detection and condition monitoring
- Noise and vibration control
- Measurement techniques
- Signal processing
- Medium and high frequency techniques
- Damping and ground vibration
- Structures dynamics
- Non-linearities: identification and modelling
- Sound quality engineering

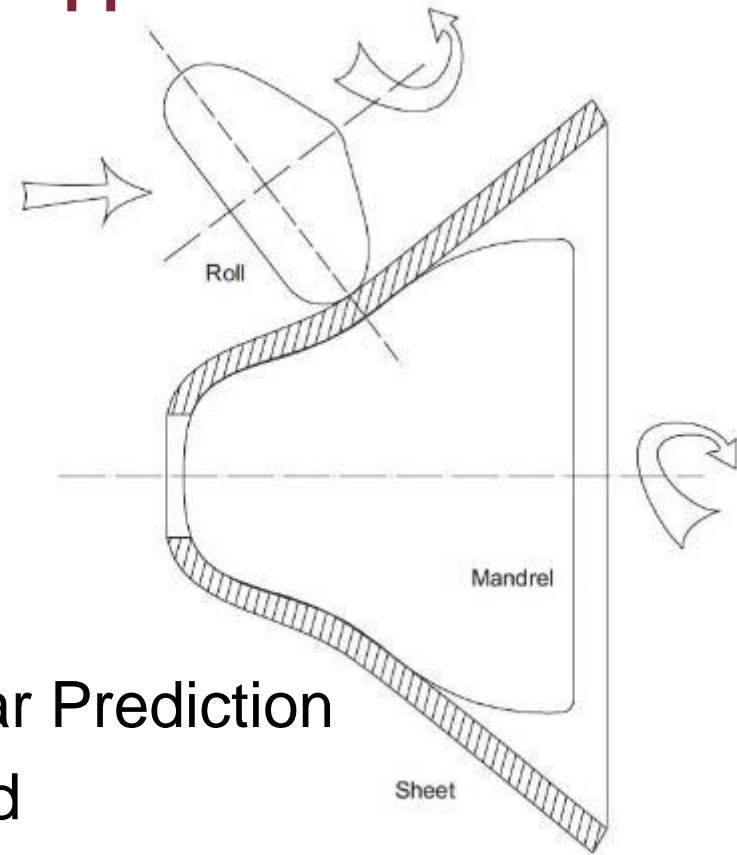
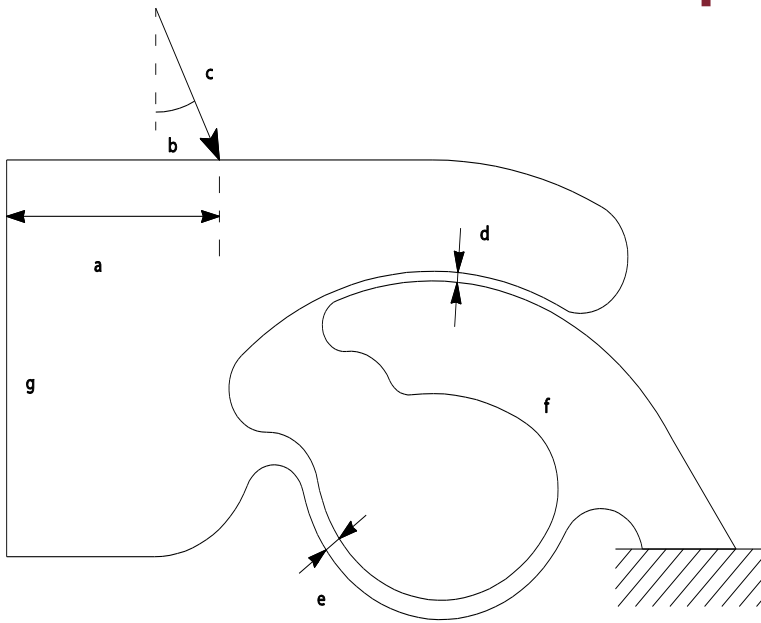
<https://www.gipom.com/search/Vibrations/>





## Part II

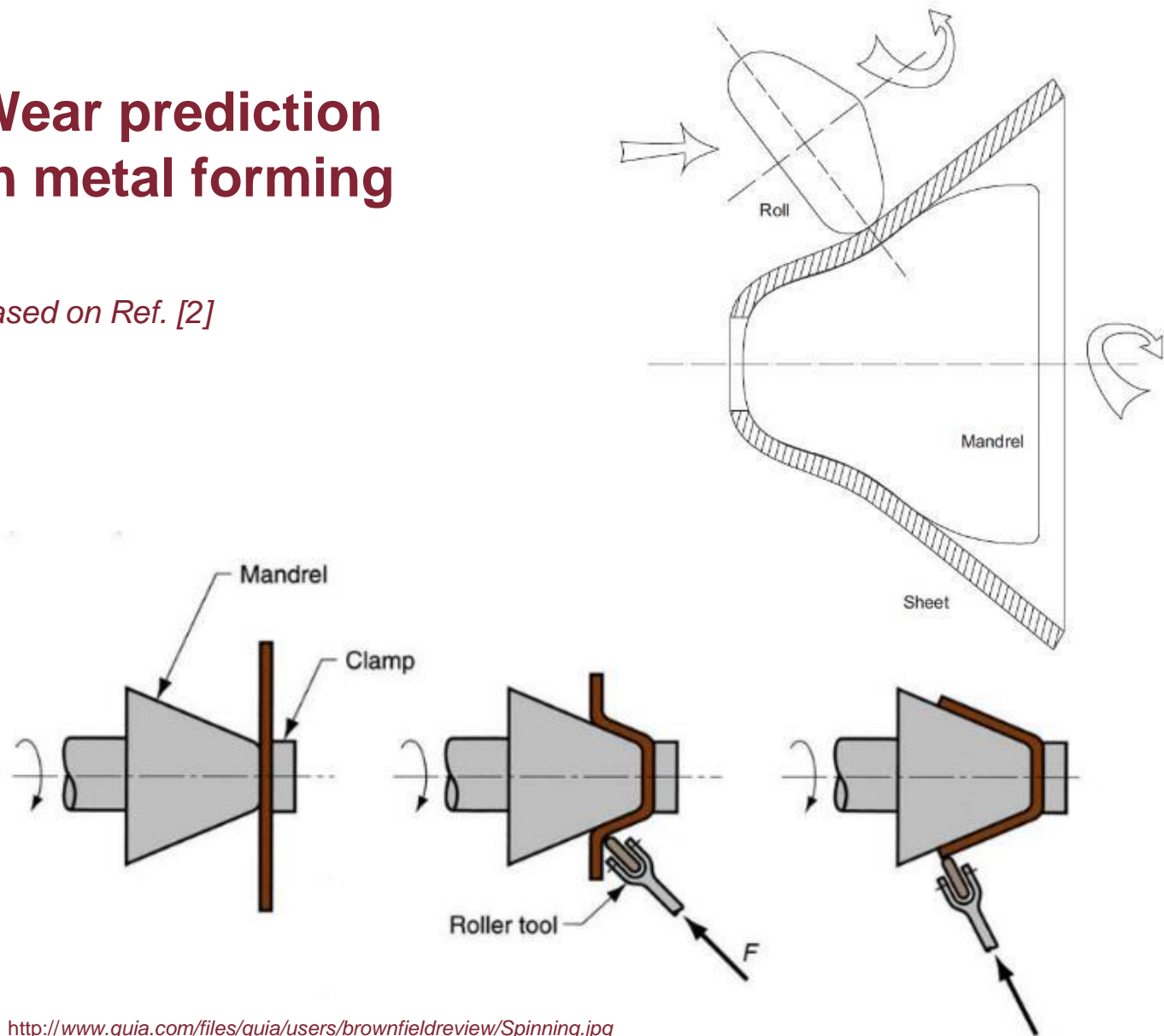
### Two examples of application



ANN and Wear Prediction  
and  
GA and MEMS Design

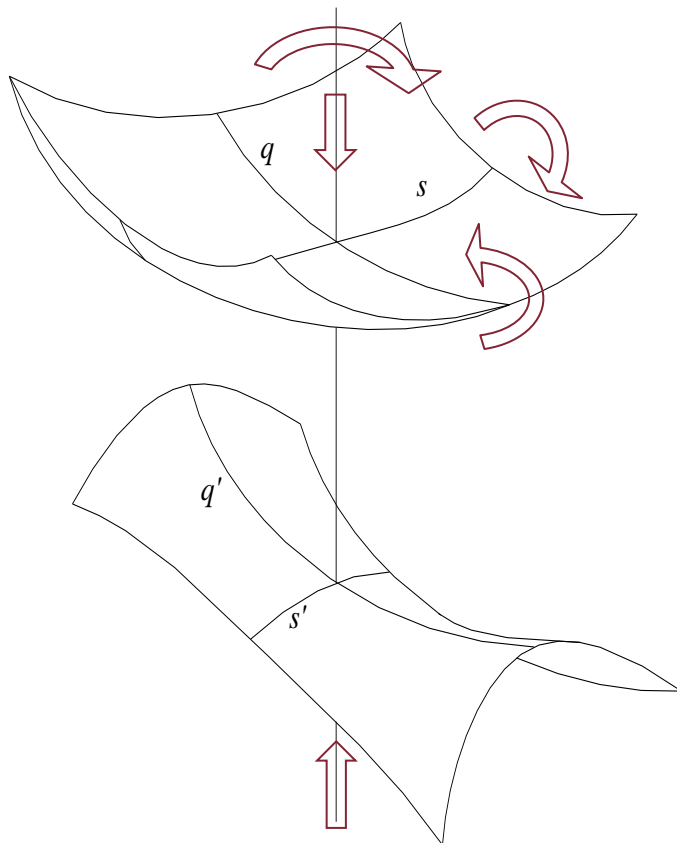
# Wear prediction in metal forming

*based on Ref. [2]*



<http://www.quia.com/files/quia/users/brownfieldreview/Spinning.jpg>

# Modeling wear in surface contacts with lubrication and high loads



## Wear basic mechanisms

- Adhesion
- Abrasion
- Fatigue
- Mild-Severe wear transitions
- Running in

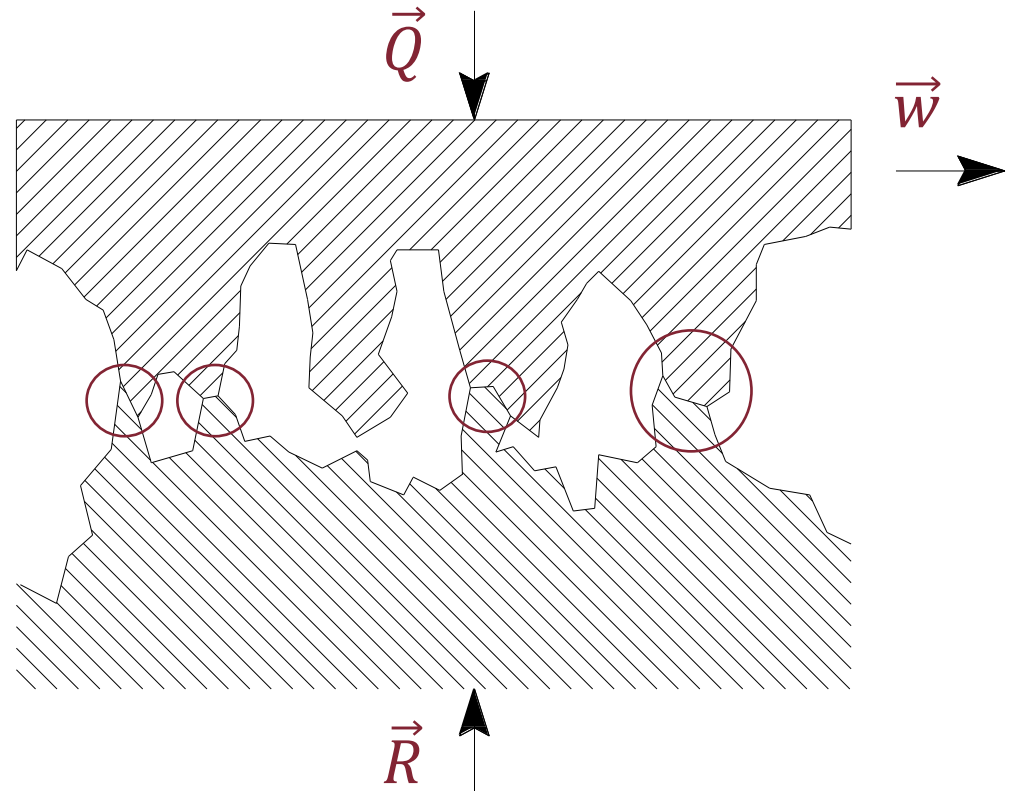
## Relative Motion

- Sliding
- Spin
- Roll

# Contribution of adhesion to the overall wear damage

$$\frac{\delta h}{s} = k_{ad} \frac{p}{\mathfrak{N}}$$

$$k_{ad} = \frac{f\mathfrak{N}}{\tau_k} = \frac{\tau_{\max}}{\tau_k} \mathfrak{N}^2$$

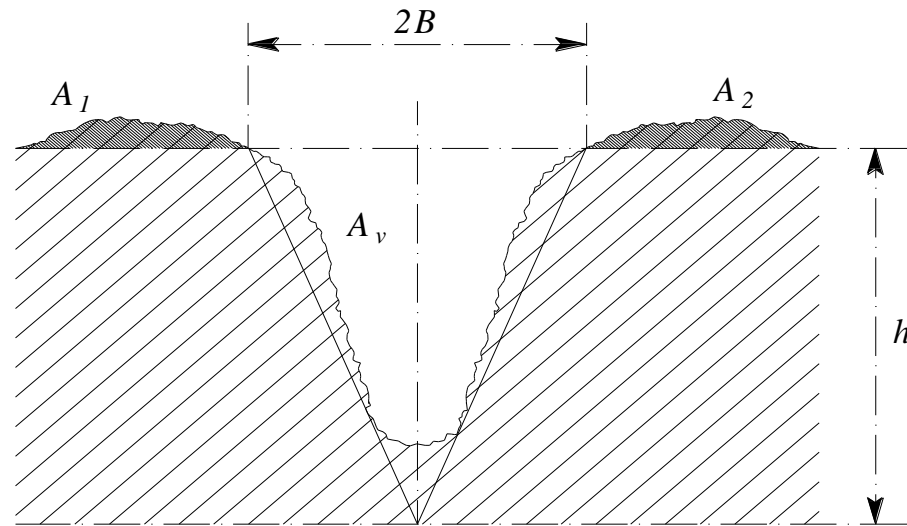


# Contribution of abrasion to the overall wear damage

$$\frac{\delta h}{s} = k_{ab} \frac{p}{\mathcal{N}}$$

$$k_{ab} = \rho_{ab} \frac{A_v \mathcal{N}}{N}$$

$$\rho_{ab} = \frac{A_v - (A_1 + A_2)}{A_v}$$



## Contribution of fatigue to the overall wear damage

*the increment of the number of micro-defects  
per each cycle is proportional to the actual number  
of micro-defects*

$$\frac{\delta h}{s} = C_f 1.58 \sigma_o^{-0.085} e^{k_e \frac{R_q}{R} n} \left( \frac{\sigma_f}{\sigma_o} \right)^m \frac{p}{\aleph}$$

*Please, refer to the above mentioned paper for the Nomenclature*



## Influence of Mild Wear to Severe Wear Transition

$$\delta h = k \frac{p}{\mathfrak{N}} (n \Delta T) \cdot k_w \frac{\ln(w+1)}{(w+1)}$$

Including the influence of the initial transient period

*Run in*

$$\delta h = \left( -k_2 n^2 + k_1 n \right) \frac{\Delta T}{\mathfrak{N}} \frac{\ln(w+1)}{(w+1)} p$$

# The adopted overall model

for  $0 < n < n_o = 300000$  cycles

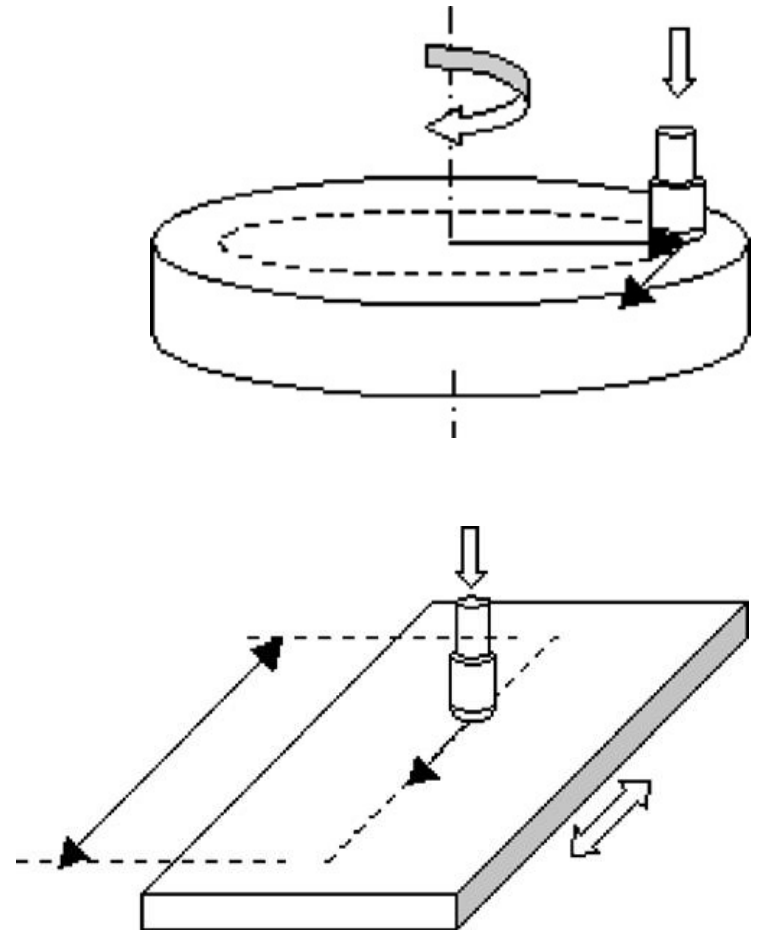
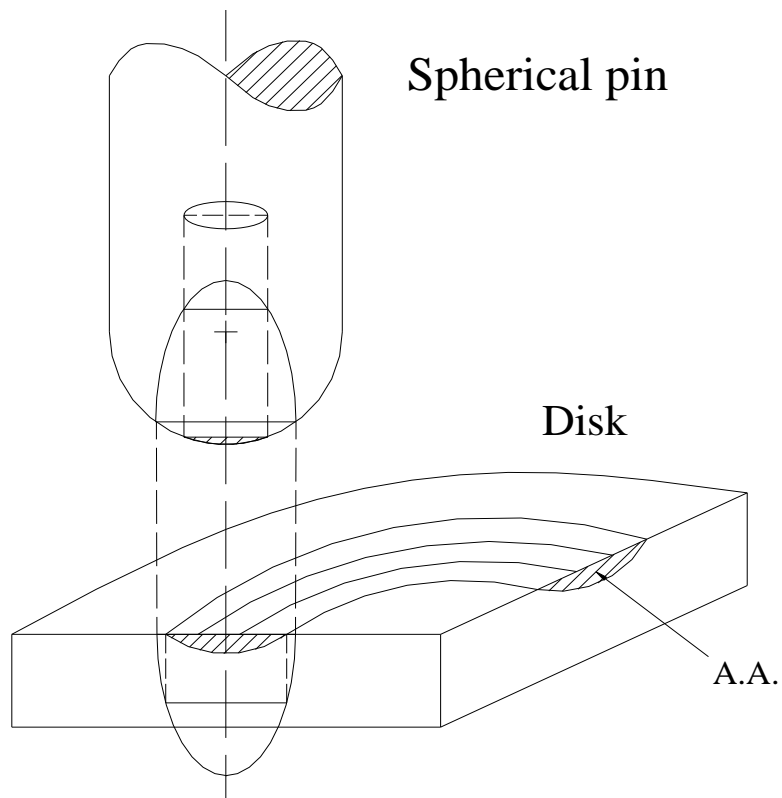
$$\delta h = (-k_2 n^2 + k_1 n) \frac{\Delta T}{\aleph} \frac{\ln(w+1)}{(w+1)} p$$



for  $n > n_o$

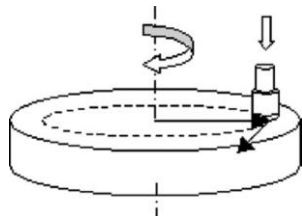
$$\delta h = \delta h(n_o) + \left( k_a + C_f 1.58 \sigma_o^{-0.085} e^{k_e \frac{R_q}{R} (n - n_o)} \left( \frac{\sigma_f}{\sigma_o} \right)^m \right) \frac{\Delta T}{\aleph} \frac{\ln(w+1)}{(w+1)} p (n - n_o)$$

# Experimental set up



# Hybridization

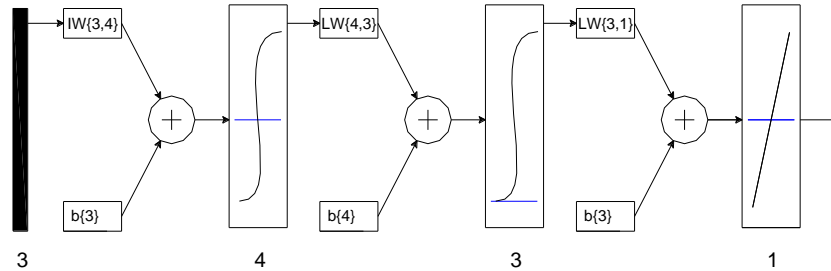
- Data produced by the theoretical model have been used to enlarge the amount of experimental data
- Both the Training and Test Sets have been built by using hybridization



$$\delta h = \delta h(n_o) + \left( k_a + C_f 1.58 \sigma_o^{-0.085} e^{k_e \frac{R_q}{R} (n - n_o)} \left( \frac{\sigma_f}{\sigma_o} \right)^m \right) \frac{\Delta T}{\aleph} \frac{\ln(w+1)}{(w+1)} p(n - n_o)$$

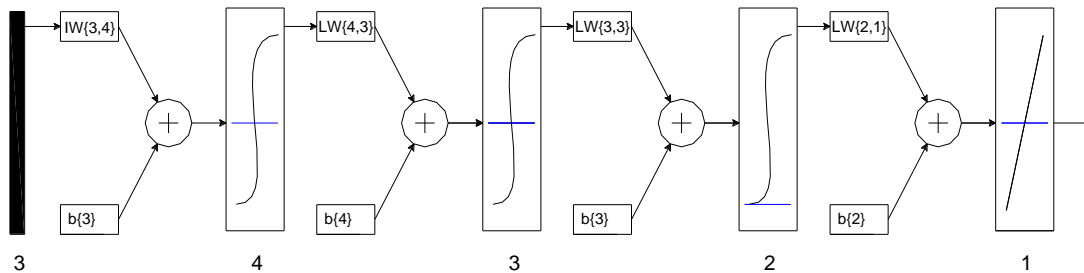


# Neural Network Structures



(a)

*3 inputs = Thousands of revolutions, Pressure, Velocity*

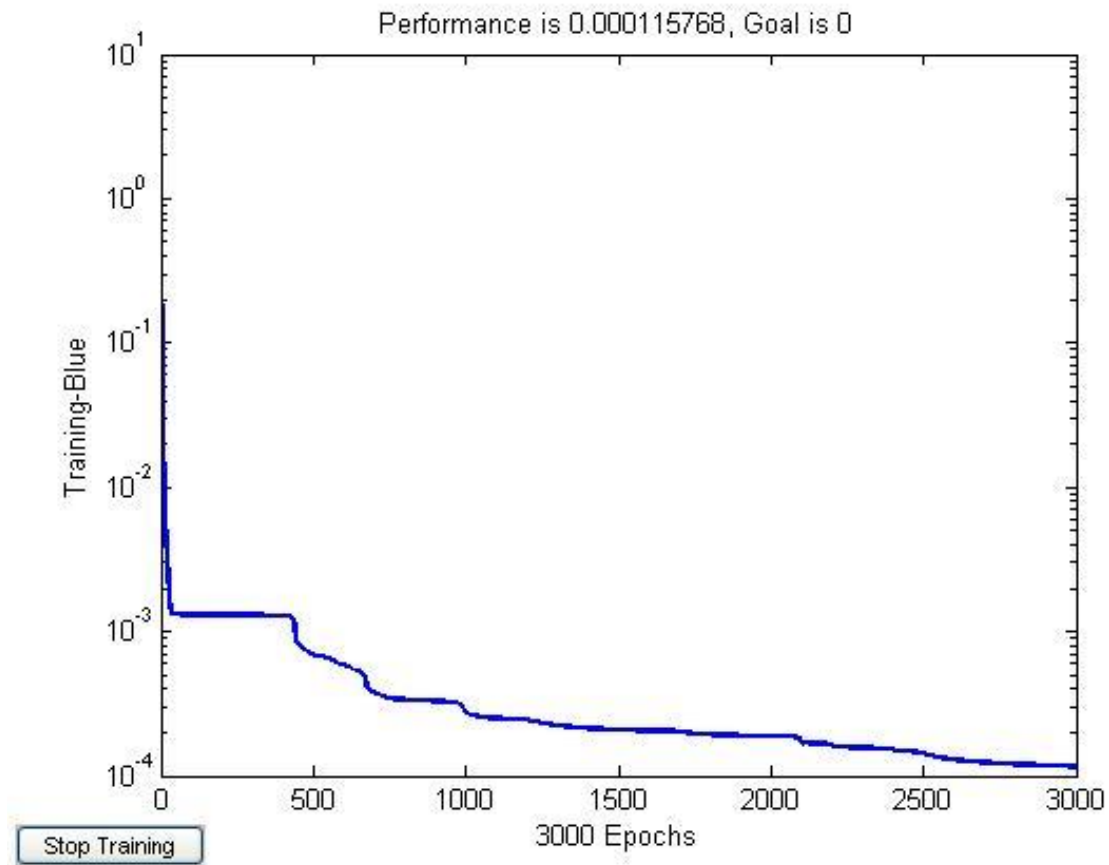


(b)

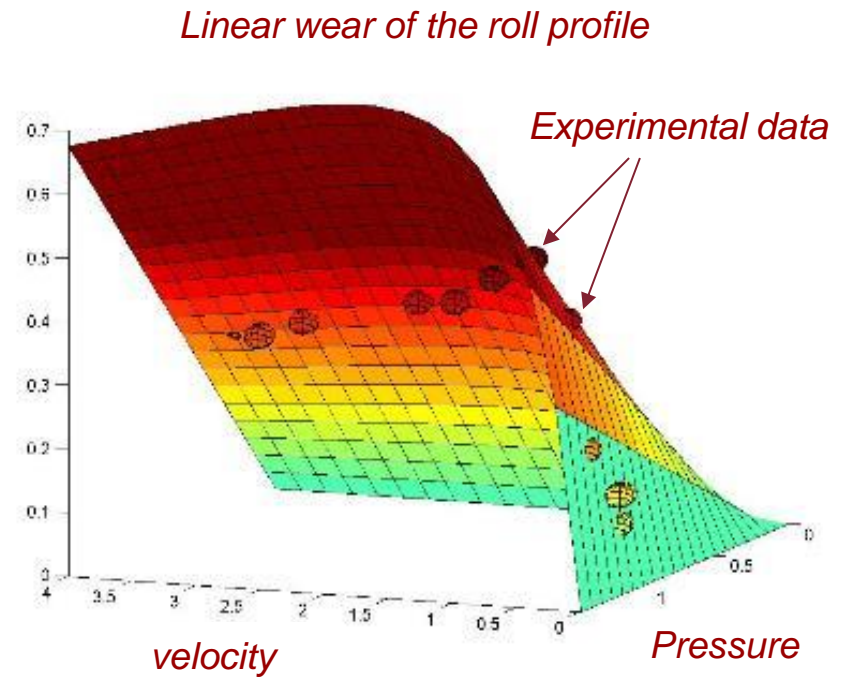
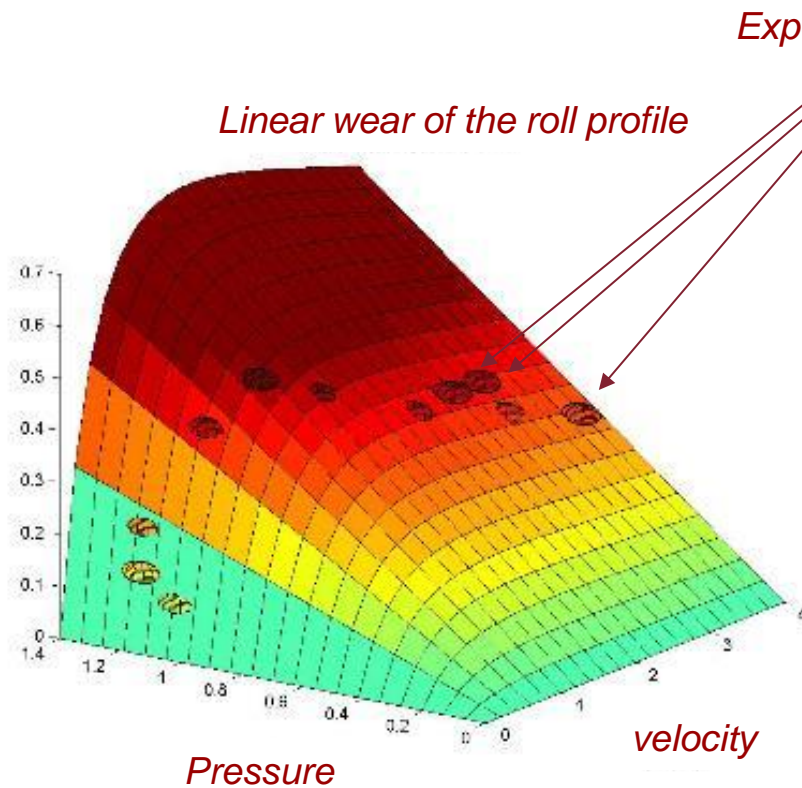
*1 output = sample radius wear (mm)*

$$a^3 = f^3(LW_{1,3}f^2(LW_{3,4}f^1(IW_{4,3}p + b^1) + b^2) + b^3) = y$$

## Convergence history



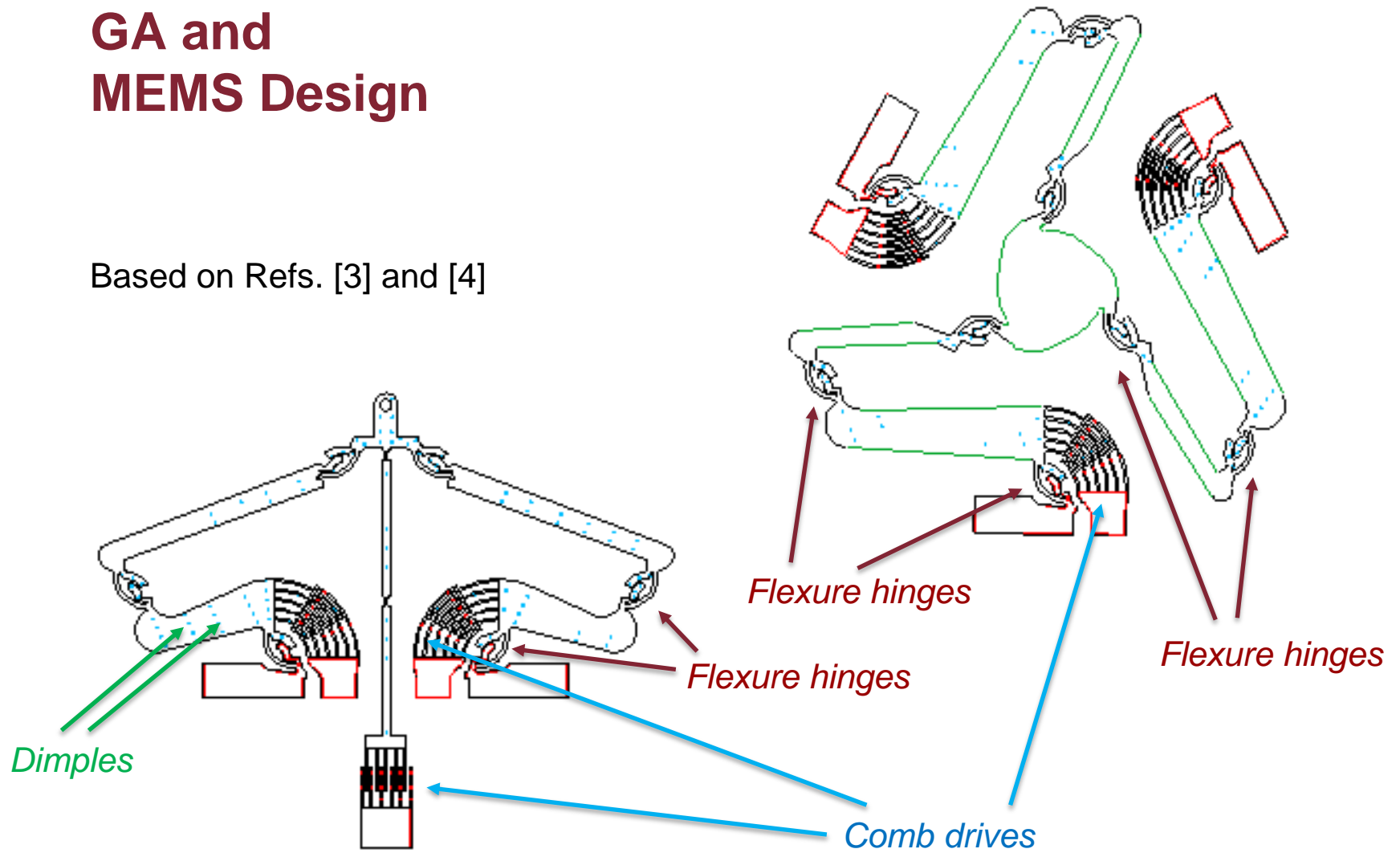
# ANN vs Model Comparative Analysis of Results



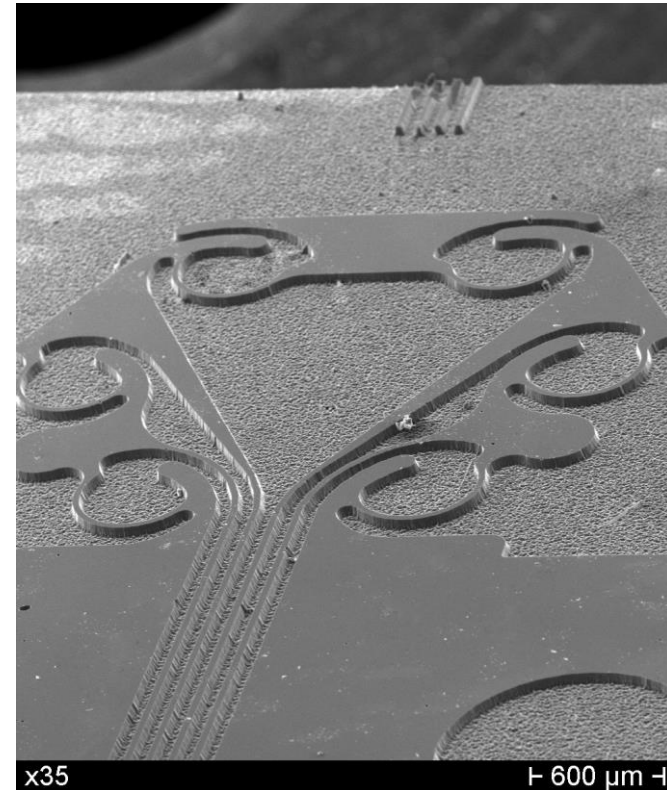
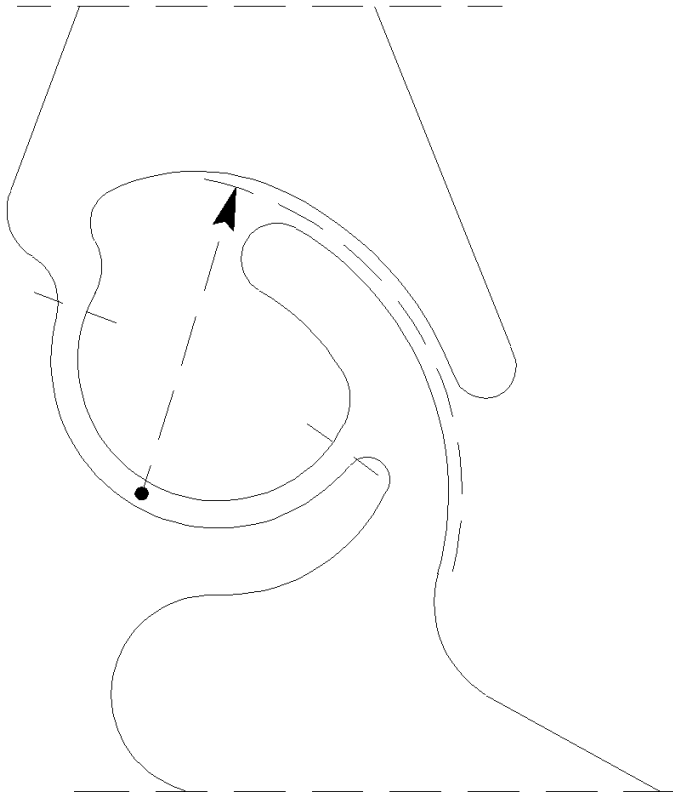


# GA and MEMS Design

Based on Refs. [3] and [4]

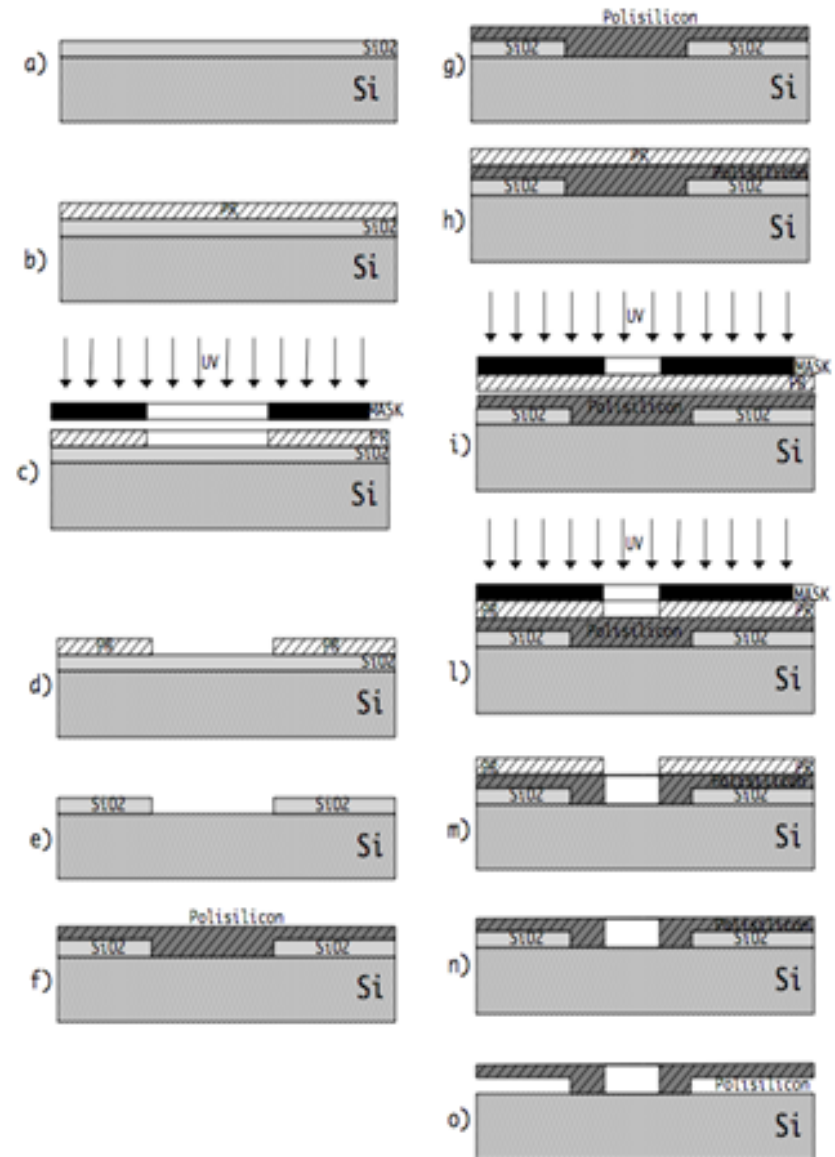


# CSFH (Conjugate-Surfaces Flexure Hinge) for MEMS Design

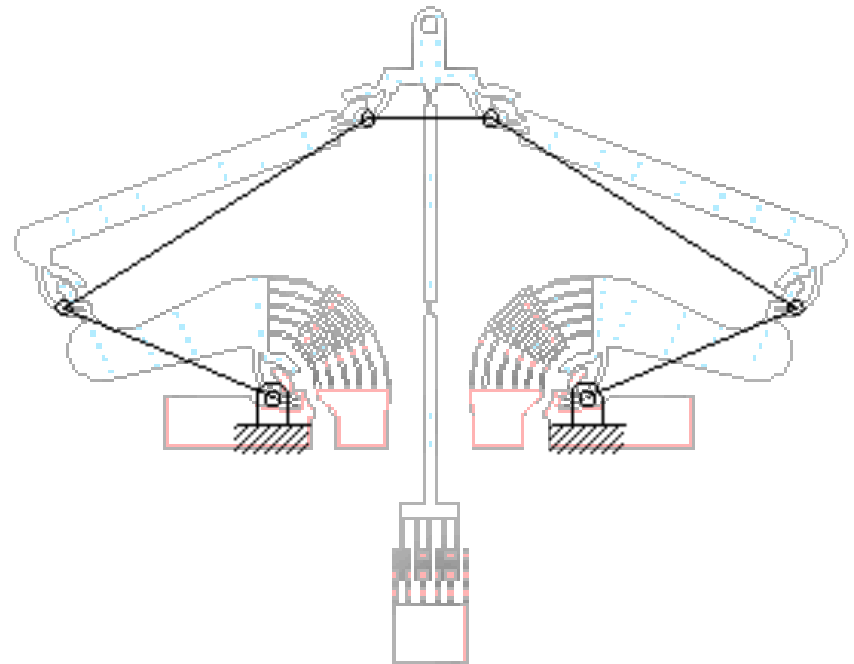
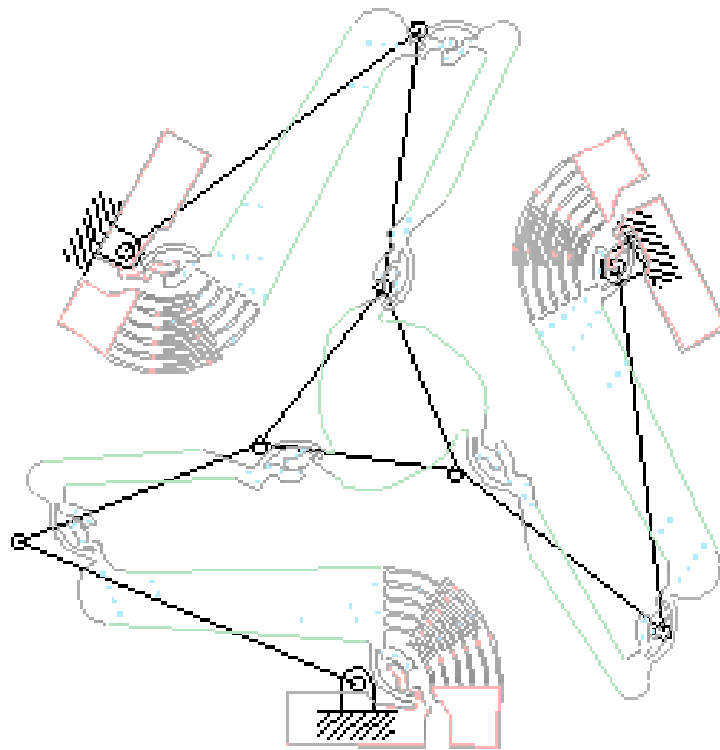


# The Construction Method

- a) silicon dioxide grown thermally on a silicon wafer
- b) PR photoresist
- c) Mask and PR developing
- d) Mask removal
- e) Reactive Ion Etching
- f) Polysilicon deposition via Low Pressure Chemical Vapor Deposition)
- g) Preparation for photolithography
  - h) PR
  - i) Mask
  - l) PR developinig
  - m) Mask removal
- n) Wet etching (acetone)
- o) PR removal
- p) HF (hydrofluoric acid) etching for removing the silicon dioxide

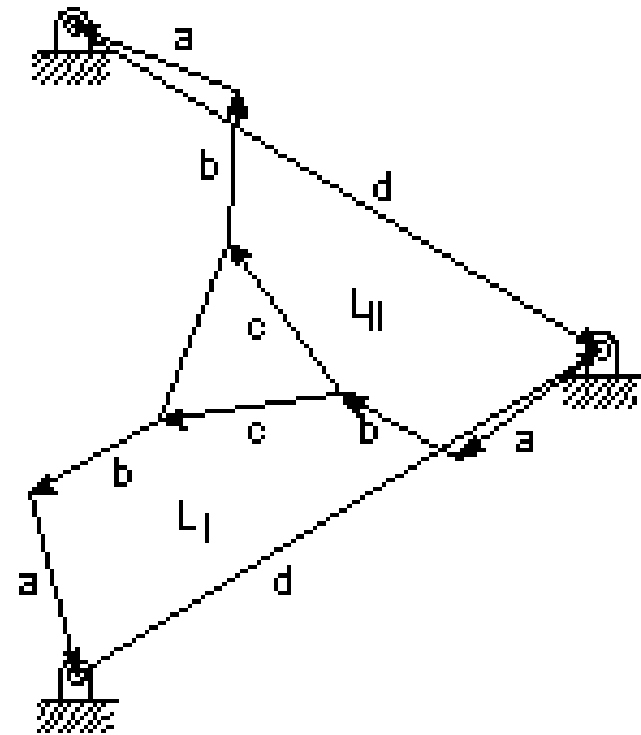
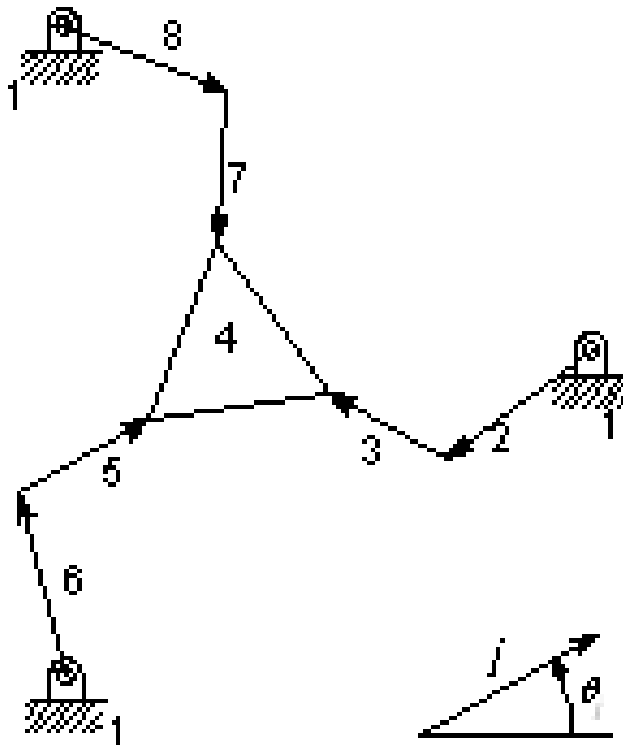


# The pseudo-rigid body equivalent mechanism



# PRBM: benefits

- a) Configuration analysis around the neutral configuration
- b) First order and Performance analysis
- c) Stress minimization, isotropic compliance and mechanical advantage optimization



# Main Indexes

$k$  ( $J$ ) *Kinematic Condition Number*,  
ratio of highest to lowest values of the  
output generalized velocity vectors;

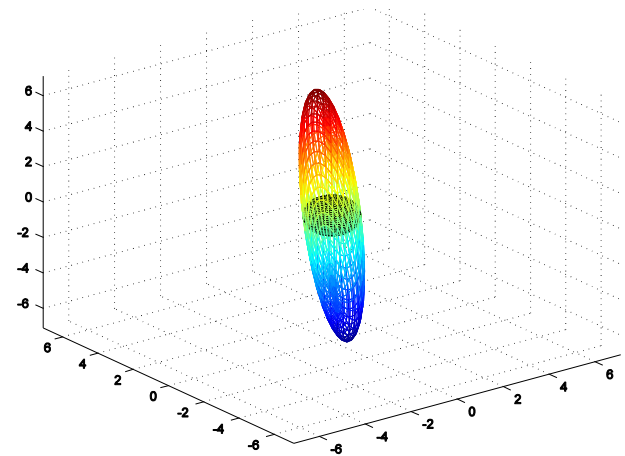
*$k$  ( $J$ ) gives a good esteem of the  
sensitivity of the tip velocities upon  
directions*

$MA$  *Mechanical Advantage*,

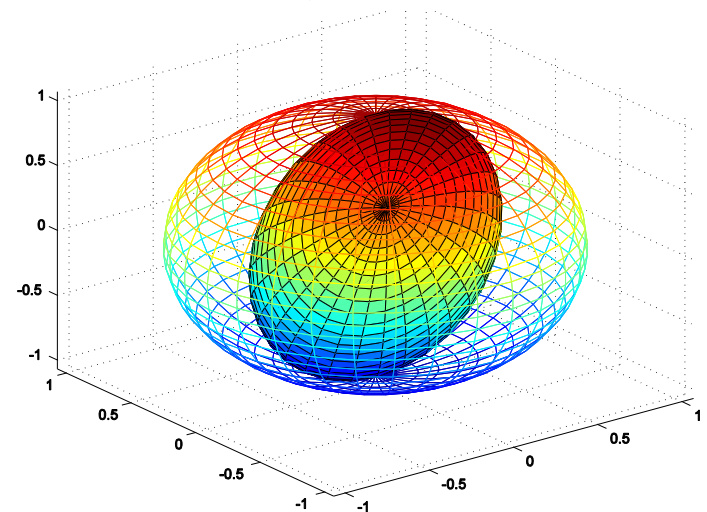
ratio of the norm of the input generalized  
velocity vector (actuators) by the norm of  
its corresponding output generalized  
velocity vector (platform);

*$MA$  could be used to evaluate the overall  
force amplification factor in static  
conditions*

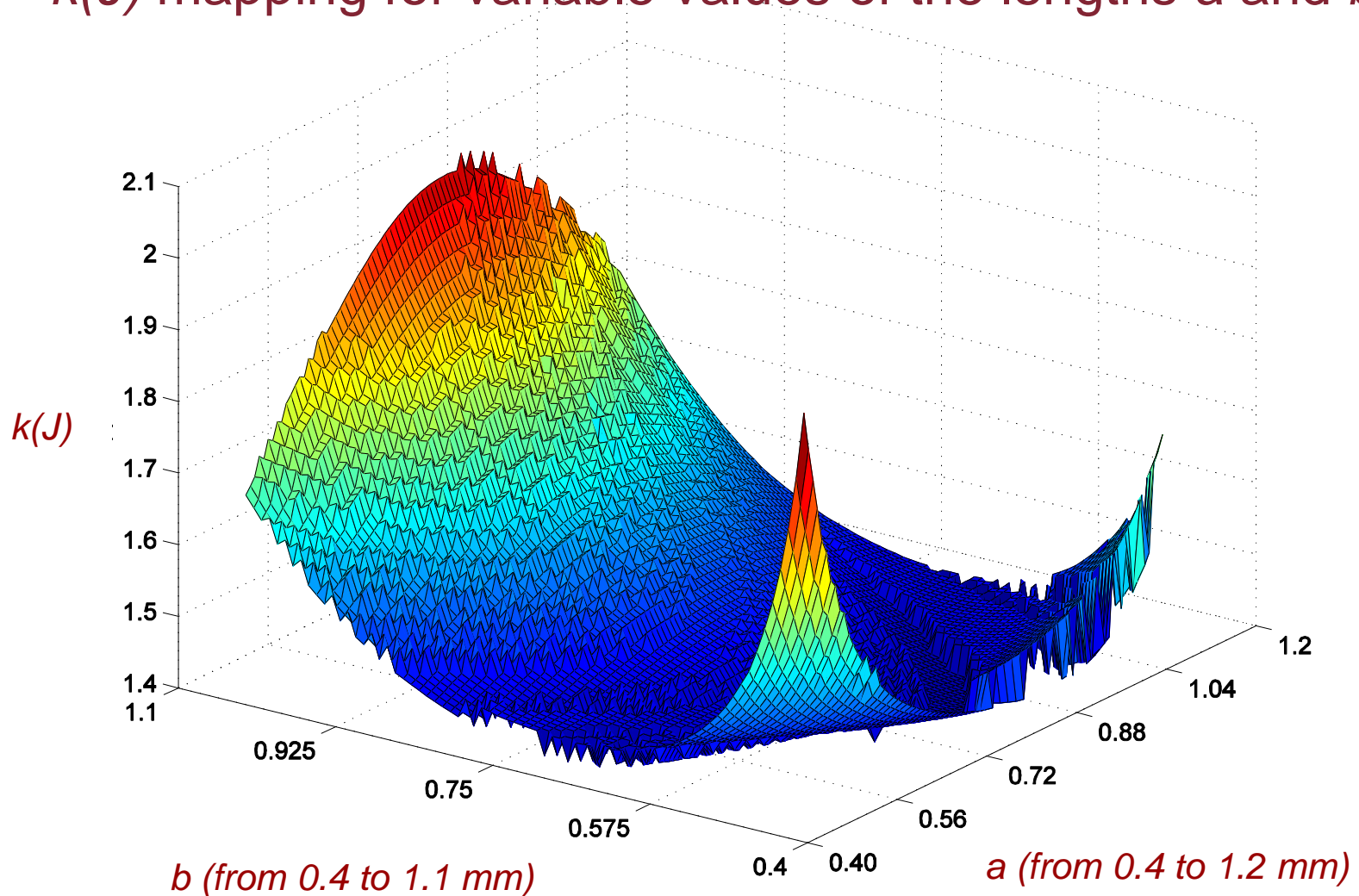
Output generalized velocity



Output generalized velocity



## $k(J)$ mapping for variable values of the lengths $a$ and $b$





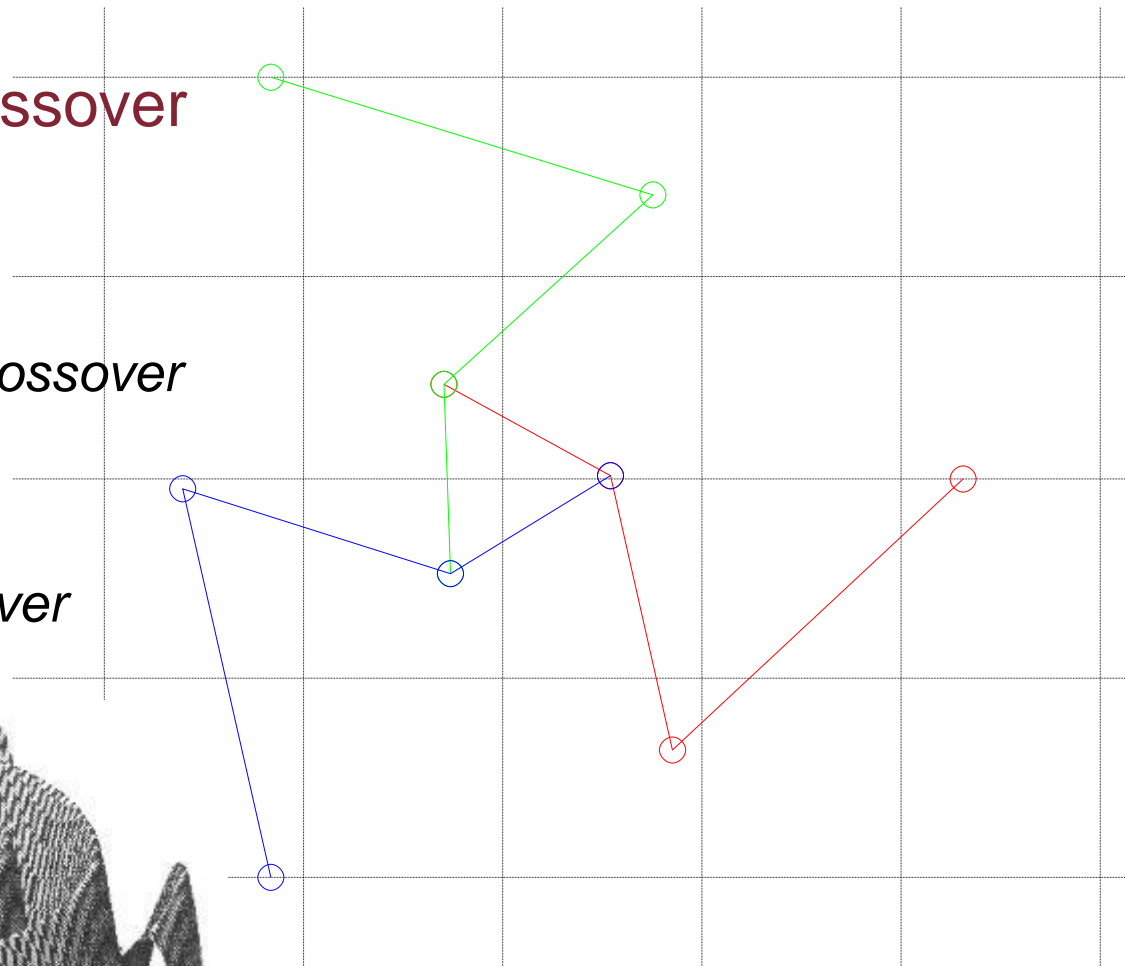
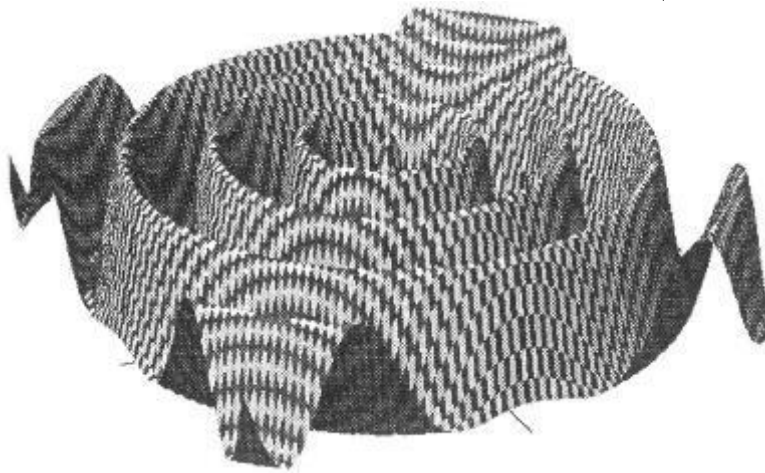
## Fitness functions

- $(k(J) - 1)^2 \rightarrow \text{target} = \text{unit value of the } KCN$
- $(MA_{\max} - 1)^2 \rightarrow \text{target} = \text{output generalized velocity vectors } \{V\} \text{ are represented by an ellipsoid which is fully included within a unit radius sphere } \{\Omega_u\}$
- $(MA_{\min} - 1)^2 \rightarrow \text{target} = \{V\} \text{ are represented by an ellipsoid which fully includes } \{\Omega_u\}$
- $(k(J) - 1)^2 + (MA_{\max} - 1)^2 \rightarrow \text{target} = \{V\} \text{ is fully included within } \{\Omega_u\} \text{ but it is similar to a sphere}$
- $(k(J) - 1)^2 + (MA_{\min} - 1)^2 \rightarrow \text{target} = \{V\} \text{ includes } \{\Omega_u\} \text{ but it is similar to a sphere}$

# Encoding and Crossover

Based on Ref. [5]

- *One or two point crossover*
- *Uniform crossover*
- *Flat crossover*
- *Logarithmic crossover*



Population size = 200

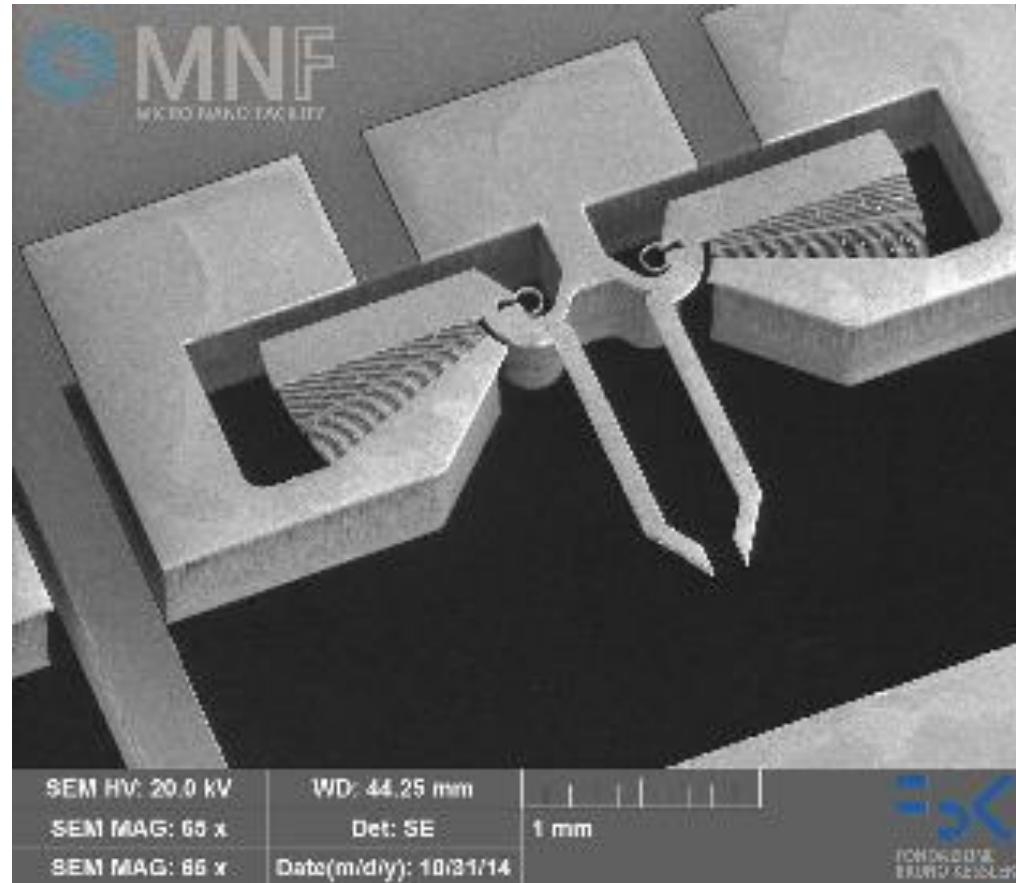
# Results

Target	a (mm)	b (mm)	c (mm)	k(J)	MA <sub>max</sub>	MA <sub>min</sub>	CPU time (s)
K(J)	1.34	0.50	1.21	1.25	4.64	5.83	1676
MA <sub>max</sub>	0.79	0.63	0.67	1.93	1.00	1.93	406
MA <sub>min</sub>	1.51	0.91	1.01	7.14	0.14	1.00	245
K(J)&MA <sub>max</sub>	1.15	0.28	1.22	1.47	1.00	1.46	1053
K(J)&MA <sub>min</sub>	1.29	0.55	0.83	1.93	0.52	1.00	1275

# Some Activities at CNIS



## The most recent prototype (November 2014)



# Conclusions

- 1,705,201 analyzed papers
- 13,307 selected papers
- 473 referenced and catalogued papers
- ME subjects to CI methods and tools mapping
- Identification of areas of interest
  - Some ME subjects appear relatively ignored by CI means (with no apparent justification)
  - ANN-to-Tribology (2007)
  - GA-to-MEMS design (2014)

# References (for this presentation)

- [1] Belfiore, N.P., Rudas, I.J., *Applications of Computational Intelligence to Mechanical Engineering*, Proc. 15th IEEE International Symposium on Computational Intelligence and Informatics CINTI 2014, November 19-21, 2014, Budapest, Hungary, Paper No. 107
- [2] Belfiore NP, et al. *A hybrid approach to the development of a multilayer neural network for wear and fatigue prediction in metal forming*. Tribology International (2007)
- [3] N. P. Belfiore, M. EmamiMeibodi, M. Verotti, R. Crescenzi, M. Balucani, P, Nenzi, *Kinetostatic Optimization of a MEMS–Based Compliant 3 DOF Plane Parallel Platform*, IEEE 9th International Conference on Computational Cybernetics, Paper No. 5, IEEE PID2796439, July 8-10, 2013, Tihany, Hungary
- [4] N.P. Belfiore, M. Balucani, R. Crescenzi, M. Verotti, *Performance Analysis of Compliant MEMS Parallel Robots through Pseudo-Rigid-body Model Synthesis*, Proceedings of the 11th Biennial Conference on Engineering Systems Design and Analysis, ASME-ESDA2012, July 2-4, 2012, Nantes, France
- [5] Belfiore, N.P., A., Esposito, A., *Theoretical and Experimental Study of Crossover Operators of Genetic Algorithms*, *Journal of Optimization Theory and Applications*, Vol. 99, No. 2, November 1998, Plenum Publ. Co., New York, pp. 271 – 302