# The effect of curvature in isoperimetric problems

#### Alexandru Kristály Consolidator Researcher / Megalapozó Kutató

Óbuda University, Budapest, Hungary & Babeş-Bolyai University, Cluj-Napoca, Romania

6 September 2022

- Historical motivations & Own scientific contributions
- Planned research & Research team
- Potential impact of the research

**My research area:** Calculus of Variations & Geometric Analysis (=minimization/maximization of certain functionals on curved spaces).

### Some topics:

• **Minimizing 'distances'**: to find the 'shortest path/time' between two distinct points (e.g. Zermelo's navigation problem).



- Partial Differential Equations: smooth and nonsmooth (e.g. Schrödinger equations, Dirichlet problems, von Kármán adhesive plates, clamped plates, etc).
- **Isoperimetric problems**: to find the shape of a domain of maximal area bounded by a curve of given length.

# Isoperimetric problem on regular polygons

Given a closed yarn/rope of length L = 1: what is the domain of maximal area enclosed by it?



A. Kristály (ÓE & BBU)

Isoperimetric problems & Curvature

# Historical motivations: Isoperimetric problems

• Geometry/Architecture (Dido): maximal area with a given length of wall.





• **Physics** (Drop, soap bubble): minimal surface tension.



Common features: circular/spherical shapes!

A. Kristály (ÓE & BBU)

Isoperimetric problems & Curvature

#### Isoperimetric problem:

to find the shape of a domain of maximal area bounded by a curve of given length.

Ancient Greek: isos = equal.

### Splitting of the scientific part:

- Isoperimetric problems in Geometry
- Isoperimetric problems in Physics

# Isoperimetric problems in Geometry: mathematical formulation

- $n \ge 2$ : dimension of the (Euclidean) space.
- Vol( $\Omega$ ): volume of  $\Omega \subset \mathbb{R}^n$ .
- $\mathcal{P}(\partial \Omega)$ : perimeter of  $\Omega$ .
- $\omega_n$ : volume of the *n*-dimensional unit ball in  $\mathbb{R}^n$ . (e.g.  $\omega_2 = \pi$ )

**Isoperimetric inequality** (in  $\mathbb{R}^n$ ): for every bounded open set  $\Omega \subset \mathbb{R}^n$  with smooth boundary, we have

$$\mathcal{P}(\partial\Omega) \ge n\omega_n^{\frac{1}{n}} \operatorname{Vol}(\Omega)^{\frac{n-1}{n}},$$

and equality holds if and only if  $\Omega$  is isometric to an n-dimensional ball.

Optimal isoperimetric profiles in  $\mathbb{R}^n$ : balls!



Nature prefers symmetric/perfect objects.

A. Kristály (ÓE & BBU)

# Isoperimetric problems in Geometry: effect of curvature

What happens in curved spaces?



Global approach: Riemannian/Finsler manifolds

- orbits of aircrafts (geodesics on curved spaces);
- general relativity (Einstein's equation, gravitation vs. curvature).

A. Kristály (ÓE & BBU)

Isoperimetric problems & Curvature

(M, g): an *n*-dimensional Riemannian manifold.

• Negatively curved spaces (Cartan-Hadamard conjecture):  $K \leq 0$  for every bounded open set  $\Omega \subset M$  with smooth boundary, one has

$$\mathcal{P}(\partial\Omega) \ge n\omega_n^{\frac{1}{n}} \operatorname{Vol}(\Omega)^{\frac{n-1}{n}}.$$

The conjecture holds for n = 2, 3 and 4. Open for  $n \ge 5$ .

• Positively curved spaces<sup>1</sup>:  $Ric \ge 0$ for every bounded open set  $\Omega \subset M$  with smooth boundary, one has

$$\mathcal{P}(\partial\Omega) \ge n\omega_n^{\frac{1}{n}} \mathsf{AVR}^{\frac{1}{n}} \mathsf{Vol}(\Omega)^{\frac{n-1}{n}}; \tag{1}$$

AVR = lim<sub>r→∞</sub> Vol(B(x<sub>0</sub>, r)) ∈ [0, 1]: asymptotic volume ratio.
 (1) is sharp and we have a strong curvature rigidity:

equality holds in (1) for some  $\Omega \subset M$  if and only if (M,g) is isometric to  $\mathbb{R}^n$ (AVR = 1) and  $\Omega$  is isometric to a ball in  $\mathbb{R}^n$ .

<sup>&</sup>lt;sup>1</sup>S. BRENDLE (CPAM, 2021), Z. BALOGH & A. KRISTÁLY (Math. Annalen, 2021)

(M, g): an *n*-dimensional Riemannian manifold.

• Negatively curved spaces (Cartan-Hadamard conjecture):  $K \leq 0$  for every bounded open set  $\Omega \subset M$  with smooth boundary, one has

$$\mathcal{P}(\partial\Omega) \ge n\omega_n^{\frac{1}{n}} \operatorname{Vol}(\Omega)^{\frac{n-1}{n}}.$$

The conjecture holds for n = 2, 3 and 4. Open for  $n \ge 5$ .

• Positively curved spaces<sup>1</sup>:  $Ric \ge 0$ for every bounded open set  $\Omega \subset M$  with smooth boundary, one has

$$\mathcal{P}(\partial\Omega) \ge n\omega_n^{\frac{1}{n}} \operatorname{AVR}^{\frac{1}{n}} \operatorname{Vol}(\Omega)^{\frac{n-1}{n}}; \tag{1}$$

• AVR = 
$$\lim_{r \to \infty} \frac{\operatorname{Vol}(B(x_0, r))}{\omega_n r^n} \in [0, 1]$$
: asymptotic volume ratio.

• (1) is sharp and we have a strong curvature rigidity: equality holds in (1) for some  $\Omega \subset M$  if and only if (M,g) is isometric to  $\mathbb{R}^n$  $(\mathsf{AVR} = 1)$  and  $\Omega$  is isometric to a ball in  $\mathbb{R}^n$ .

<sup>&</sup>lt;sup>1</sup>S. BRENDLE (CPAM, 2021), Z. BALOGH & A. KRISTÁLY (Math. Annalen, 2021)

**Conjecture.** (LORD RAYLEIGH, 1877, *The Theory of Sounds*) The disc has the minimal fundamental tone among *clamped plates* with a given area.

Let  $n \geq 2$ ,  $\Omega \subset \mathbb{R}^n$  be bounded and  $\Omega^* \subset \mathbb{R}^n$  be a ball with  $\operatorname{Vol}(\Omega^*) = \operatorname{Vol}(\Omega)$ .

• Clamped plate problem:

 $\begin{cases} \Delta^2 u = \Lambda_1(\Omega) u & \Omega, \\ u = |\nabla u| = 0 & \partial\Omega. \end{cases}$ 

•  $\Delta$ : Laplace operator. Conjecture:

$$\Lambda_1(\Omega) \ge \Lambda_1(\Omega^*) = \mathfrak{h}_{\nu}^4 \left(\frac{\omega_n}{\operatorname{Vol}(\Omega)}\right)^{\frac{4}{n}}.$$

- $\mathfrak{h}_{\nu}$ : the first critical point of  $J_{\nu}/I_{\nu}$ .
- $\nu := \frac{n}{2} 1.$



- Variational characterization:  $\Lambda_1(\Omega) = \inf_{u \in W_0^{2,2}(\Omega) \setminus \{0\}} \frac{\int_{\Omega} (\Delta u)^2 dx}{\int_{\Omega} u^2 dx}.$
- SZEGŐ (1950):  $\pi_1(\Omega) = 0$  and  $u_1$  is sign-preserving  $\implies \Lambda_1(\Omega) \ge \Lambda_1(\Omega^*)$ .
- Coffman–Duffin–Schaffer (~ 1952): Let 0 < r < R and the annulus

$$A_{r,R} = \{ x \in \mathbb{R}^2 : r < |x| < R \}.$$

- $C_{CDS} \approx 762.3264;$
- $u_1$  is sign-preserving if  $R/r < C_{CDS}$ , and sign-changing if  $R/r > C_{CDS}$ .
- Landmark results: LORD RAYLEIGH's conjecture confirmed in 2 and 3 dimensions by ASHBAUGH-BENGURIA (1995) and NADIRASHVILI (1995).
- No results for higher dimensions  $(n \ge 4)$  and in curved spaces.

11/19

### What happens with the clamped plates in curved spaces?

Let (M, g) be an *n*-dimensional complete Riemannian manifold,  $n \ge 2$ .

• Clamped plate problem:  $\Omega \subset M$  is any bounded open domain,

$$\begin{cases} \Delta_g^2 u = \Lambda u & \text{in } \Omega, \\ u = |\nabla_g u| = 0 & \text{on } \partial\Omega. \end{cases}$$

• Variational characterization (calculus of variations):

$$\Lambda_g(\Omega) = \inf_{u \in W_0^{2,2}(\Omega) \setminus \{0\}} \frac{\int_{\Omega} (\Delta_g u)^2 \mathrm{d} v_g}{\int_{\Omega} u^2 \mathrm{d} v_g}$$

- Strong curvature dependence:
  - Nonpositively curved spaces:

A. KRISTÁLY, Fundamental tones of clamped plates in nonpositively curved spaces, *Adv. Math.*, 2020.

#### • Positively curved spaces:

A. KRISTÁLY, Lord Rayleigh's conjecture for positively curved vibrating clamped plates, *Geom. Funct. Anal.*, 2022.

## Nonpositively curved spaces<sup>2</sup>:

Let  $n \in \{2, 3\}$  and (M, g) be an *n*-dimensional Cartan-Hadamard manifold with sectional curvature  $\mathsf{K} \leq -\kappa$  for some  $\kappa \geq 0$ ,  $\Omega \subset M$  be a bounded domain with smooth boundary and volume  $V_g(\Omega) \leq \frac{c_n}{\kappa^{n/2}}$  with  $c_2 \approx 21.031$  and  $c_3 \approx 1.721$ . If  $\Omega^* \subset N_{\kappa}^n$  is a geodesic ball verifying  $V_g(\Omega) = V_{\kappa}(\Omega^*)$  then

$$\Gamma_g(\Omega) \ge \Gamma_\kappa(\Omega^\star),\tag{2}$$

with equality in (2) if and only if  $\Omega$  is isometric to  $\Omega^*$ . In addition,

$$\Gamma_g(\Omega) \ge \frac{(n-1)^4}{16} \kappa^2.$$
(3)

**Curvature implication:** in negatively curved spaces, arbitrary big clamped drums have positive fundamental tones, see (3), contrary to the Euclidean framework!

<sup>&</sup>lt;sup>2</sup>A. KRISTÁLY (Adv. Math., 2020)

A. Kristály (ÓE & BBU)

### **Positively curved spaces**<sup>3</sup>:

Let (M, g) be a compact *n*-dimensional Riemannian manifold with  $\operatorname{Ric} \geq (n-1)\kappa > 0$  where  $n \geq 2$ . Then there exists  $v_n \in [0, 1)$  (not depending on  $\kappa > 0$ ), with  $v_2 = v_3 = 0$  and  $v_n > 0$  for  $n \geq 4$  such that if  $\Omega \subset M$  is a smooth domain and  $\Omega^* \subset \mathbb{S}^n_{\kappa}$  is a spherical cap with  $\frac{V_g(\Omega)}{V_g(M)} = \frac{V_{\kappa}(\Omega^*)}{V_{\kappa}(\mathbb{S}^n)} > v_n$ , then

$$\Lambda_g(\Omega) \ge \Lambda_\kappa(\Omega^\star). \tag{4}$$

Equality holds in (4) if and only if (M, g) is isometric to  $(\mathbb{S}^n_{\kappa}, g_{\kappa})$  and  $\Omega$  is isometric to  $\Omega^*$ . In addition,  $v_{\infty} = \limsup_{n \to \infty} v_n < 1$ .

**Curvature implication:** the first result which provides an affirmative answer to Lord Rayleigh's conjecture in high dimensions!

A. Kristály (ÓE & BBU)

<sup>&</sup>lt;sup>3</sup>A. KRISTÁLY (Geom. Funct. Anal., 2022)

**I. PDEs and differential inclusions via critical point theory**: various phenomena from mathematical physics (clamped plates, fixed membranes) and engineering (elliptic differential inclusions), etc.

**II.** Anisotropic isoperimetric problems: the famous conjecture of CABRÉ, ROS-OTON & SERRA on Finsler (non-Riemannian) manifolds, where the expected optimal isoperimetric profiles are the WULFF-shapes (and NOT the usual balls)!

- Model objects (no symmetry): black holes (via Finsler-Poincaré disc), walking law under the action of gravity on a mountain slope.
- WULFF-shapes instead of usual balls: convex limaçons (balls = shape of eggs)!

**III. Sharp functional inequalities**: entropy and hypecontractivity estimates via sharp logarithmic Sobolev inequalities on metric measure spaces curved in the sense of LOTT-STURM-VILLANI.

- **Program coordinator** (Senior category): Functional inequalities and elliptic PDEs: the influence of curvature. 2018–2022, NKFIH, K\_18, No. 127926.
  - Cs. Farkas (my former PhD Student, ÓE);
  - Á. MESTER (current PhD Student, ÓE).
- PhD supervising (AIAMDI, Óbuda University):
  - Á. MESTER: isoperimetric inequalities, functional inequalities on Finsler manifolds, defense in 2022-2023;
  - K. SZILÁK: differential inclusions, engineering problems;
  - B. OLTEAN-PETER: equilibrium problems on curved spaces.
- $\bullet$  Several D1 publications with my PhD Students.

16 / 19

- Work with the research group from the Mathematical Institute of Bern, Switzerland (Z. BALOGH, S. DON, F. TRIPALDI).
- 1st semester. Invitation to the Fields Institute, Toronto, Canada, November 2022; thematic week (Workshop on Aspects of Ricci Curvature Bounds) and another week for collaborations and research.
- 2nd semester. Invitation to **Oxford University**, **UK**, collaboration with professor A. MONDINO, April 2023.
- 2nd semester. Invitation to Hangzhou University, China, June-July 2023, giving special lectures within a one-week Summer School.

17/19

#### • Scientific impact:

- mainstream research direction: optimal mass transport (Fields medal in 2018 for A. FIGALLI), isoperimetric conjectures;
- invitations to visit top universities (Bern, Oxford, Fields Institute);
- citations from top mathematicians (Princeton, Oxford, ETH Zürich, etc), including Fields medalists.

#### • Social/Economical impact:

- application of optimal mass transport theory;
- monopolist-agent problem.
- Forming a competitive team/generation at ÓE in applied mathematics (NKFIH grant + Excellence Program).

- Challenging optimization problem: Family & Mathematics.
- 4 children: Boy + Girl + Girl + Boy.
- Hungarian folk dance (due to my wife) + playing several instruments.
- On the beach (splashing the water versus isoperimetric problems):

