



# TCS: Research & Innovation for Manufacturing & Engineering



20+ years of experience in physics-based and data-based modeling, optimization

## Multidisciplinary Team

Mechanical, Chemical, Metallurgical, Biochemical and Aerospace Engineers, Material Scientists, Surface/Inorganic Chemists

## Modeling & Simulation expertise

FEA, CFD, Molecular Modeling, Kinetics, DEM, PBM; Statistical, machine learning, deep learning and Reinforcement Learning techniques supported by plant/lab data

## Building and deploying Digital Twins for process industries

### Dynamic process optimization

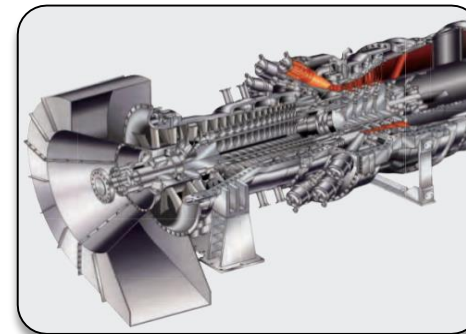


**Boilers:** commissioning and performance optimization

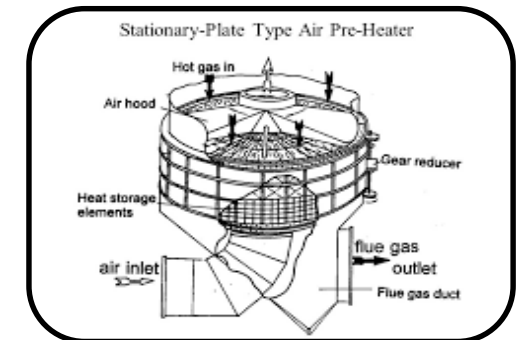


**Blast Furnace:** Prediction of silicon content of hot metal

### Predictive Maintenance

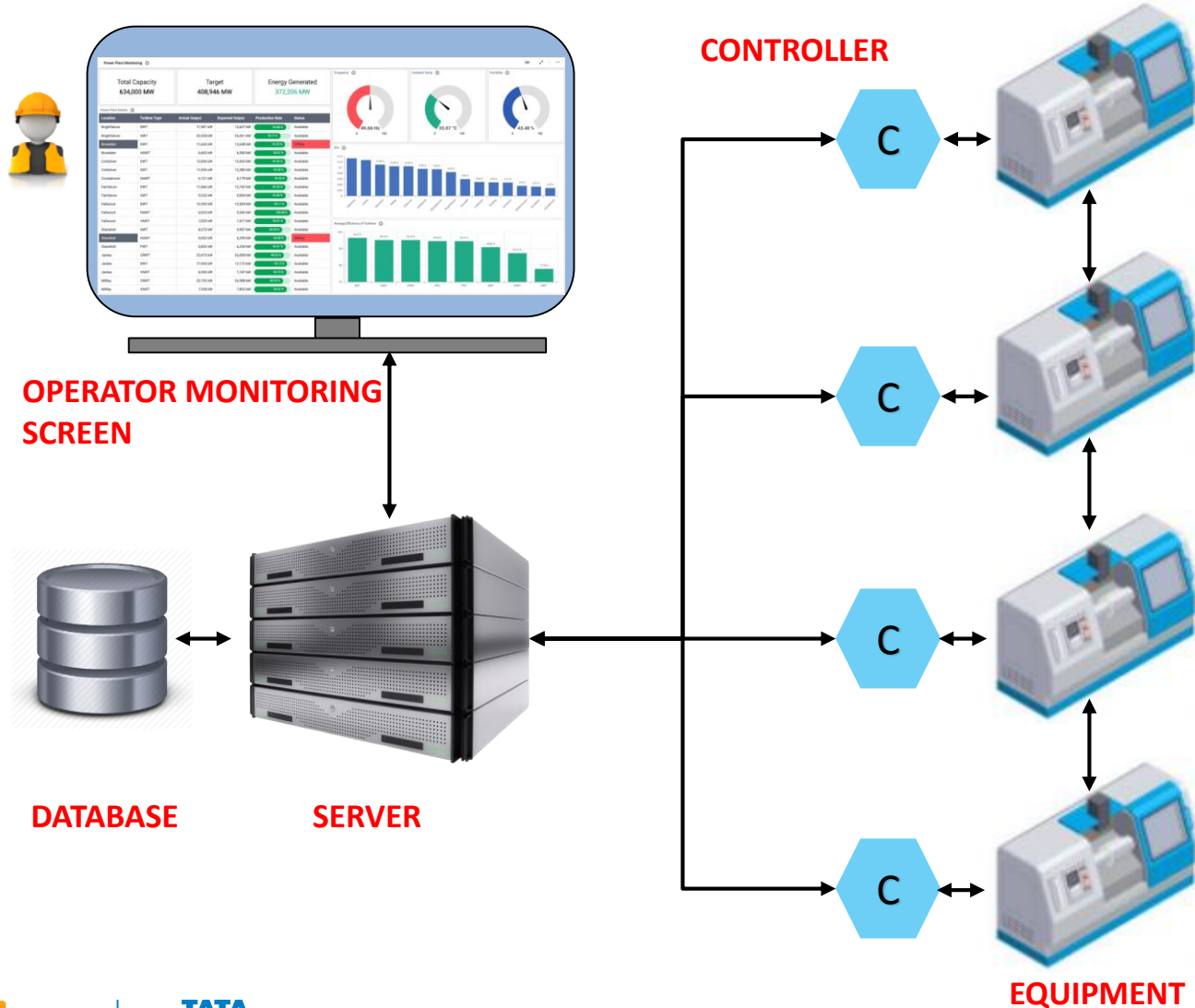


**Gas Turbines:** Anomaly detection and diagnosis



**Air Pre-Heaters:** Deposition and Clogging Prediction

# Introduction



## Why Early Detection?

- Take Preventive and Corrective Measures.
- Avoid Hazards.
- Avoid unplanned shutdowns/maintenance.
- Avoid loss in revenue, productivity & reputation.



## Challenges faced by Operator

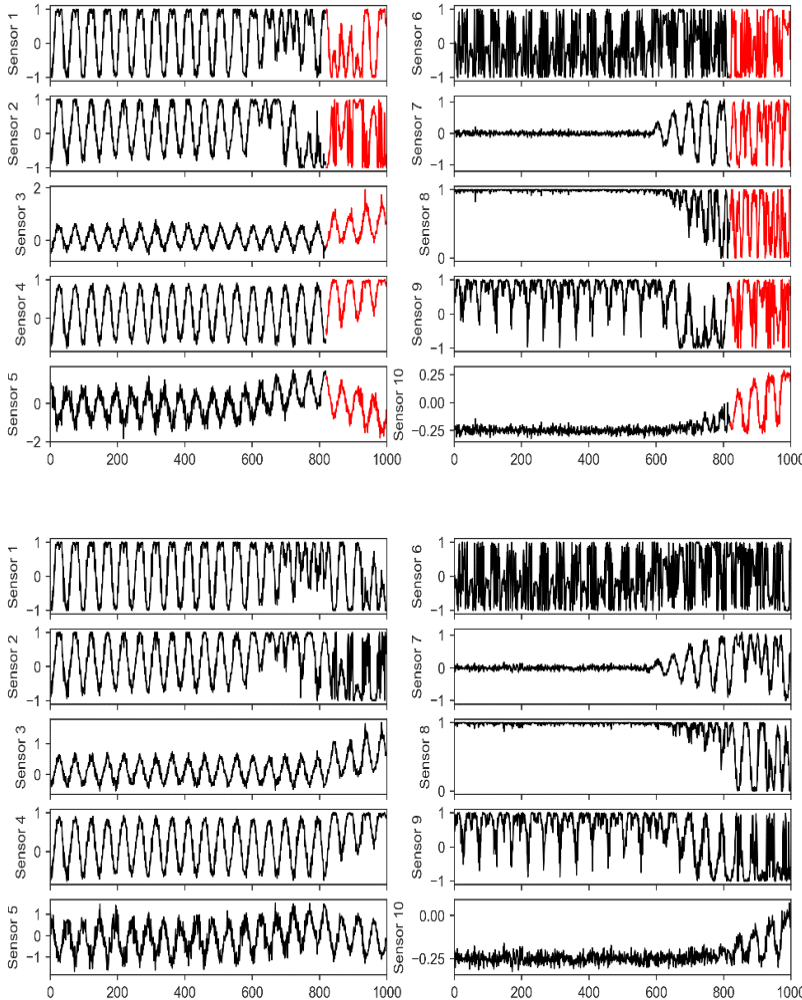
- Too much information from multiple Sensors of each component.
- Unspecified dependency among components.
- Operational change point not explicitly apparent.



## Goals

- Understand the behavior of plurality of sensors.
- Identify the onset of abnormal condition.

# SYSTEM DESCRIPTION



## System Description

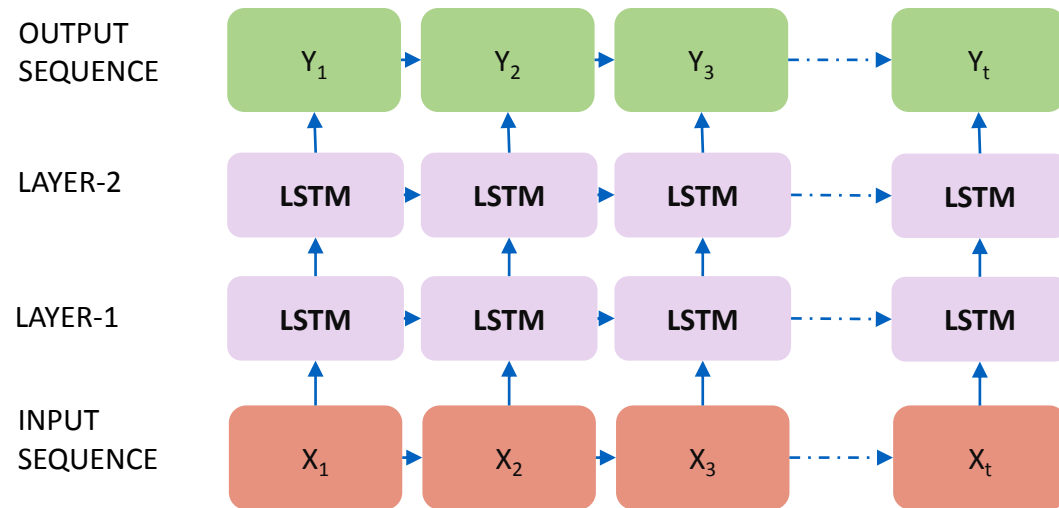
- 4 identical interconnected components of each system..
- 10 sensors of each component.
- The components either fail before mission time (1000 atu) or remain under normal operation.

## Descriptive Analysis

- Normal behavior indicated by black line and abnormal behavior indicated by red line.
- Change point depends upon multiple sensors and is not intuitively identifiable.
- 39 unique sequences of onset of abnormal condition are obtained from the given 200 systems showing weak interdependency

Time bracket (atu)	number of times component fails under a particular time bracket			
	Component 1	Component 2	Component 3	Component 4
[800, 850)	9	10	6	7
[850, 900)	25	21	28	37
[900, 950)	42	42	48	35
[950, 1000)	30	25	21	25
No Failure	94	102	97	96

atu- arbitrary time units

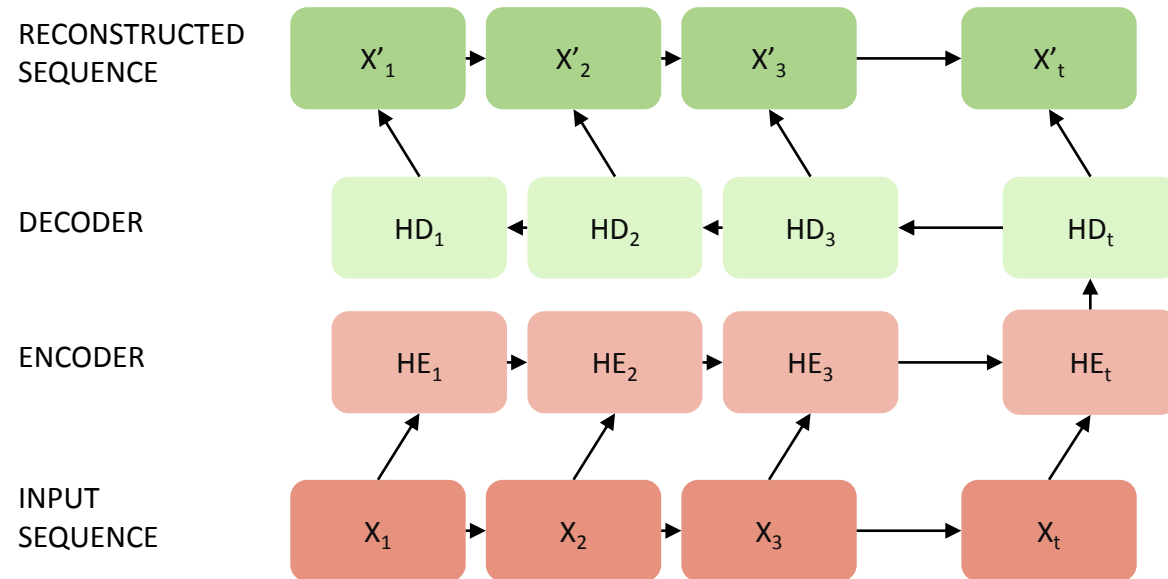


## LSTM-IC Architecture

- LSTM many-to-many network with each input have an output label
- Component's change point is considered independent of each other.
- Selected sensors of a component are used as input.
- Suitable algorithm for weak to no interdependency between components

### Timeliness error\* of LSTM-IC

Method	Train Error	Test Error	Total Error
LSTM-IC (10 sensors)	0.0394	0.08	0.0512
LSTM-IC (stationary sensors)	0.0081	0.021	0.0117



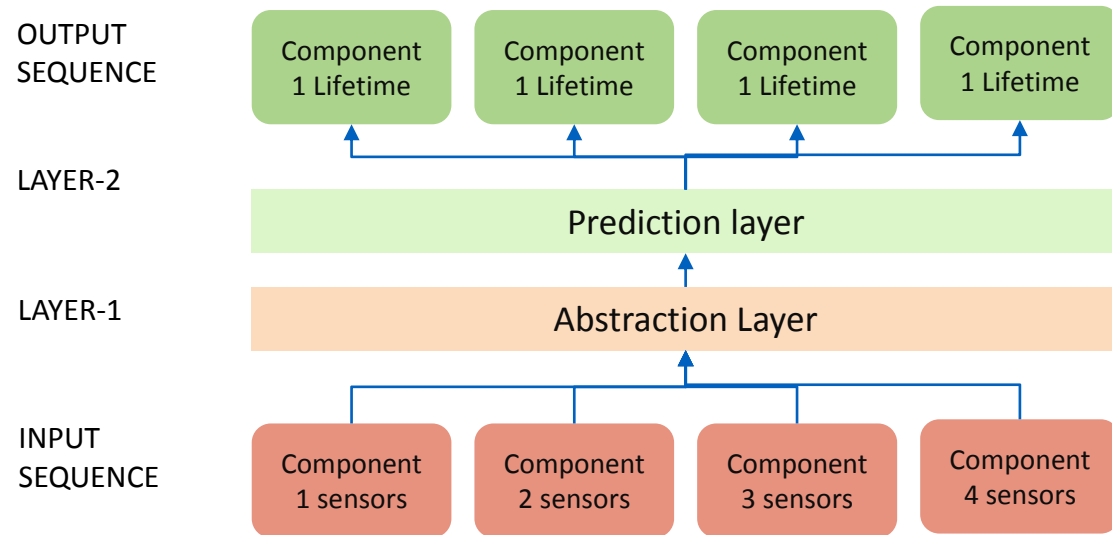
## LSTM-ED Architecture

- LSTM based Encoder Decoder
- Component's change point is considered independent of each other.
- Data obtained before the change point of all the sensors is reconstructed.

**Timeliness error table**

Method	Train Error	Test Error	Total Error
LSTM (10 sensors)	0.0394	0.08	0.0512
LSTM (stationary sensors)	0.0081	0.021	0.0117
LSTM-ED	0.4423	0.417	0.435

# METHOD-3, LSTM-MDA



The timeliness error of LSTM-IC is the least in all the 3 methods used.

## LSTM-MDA Architecture

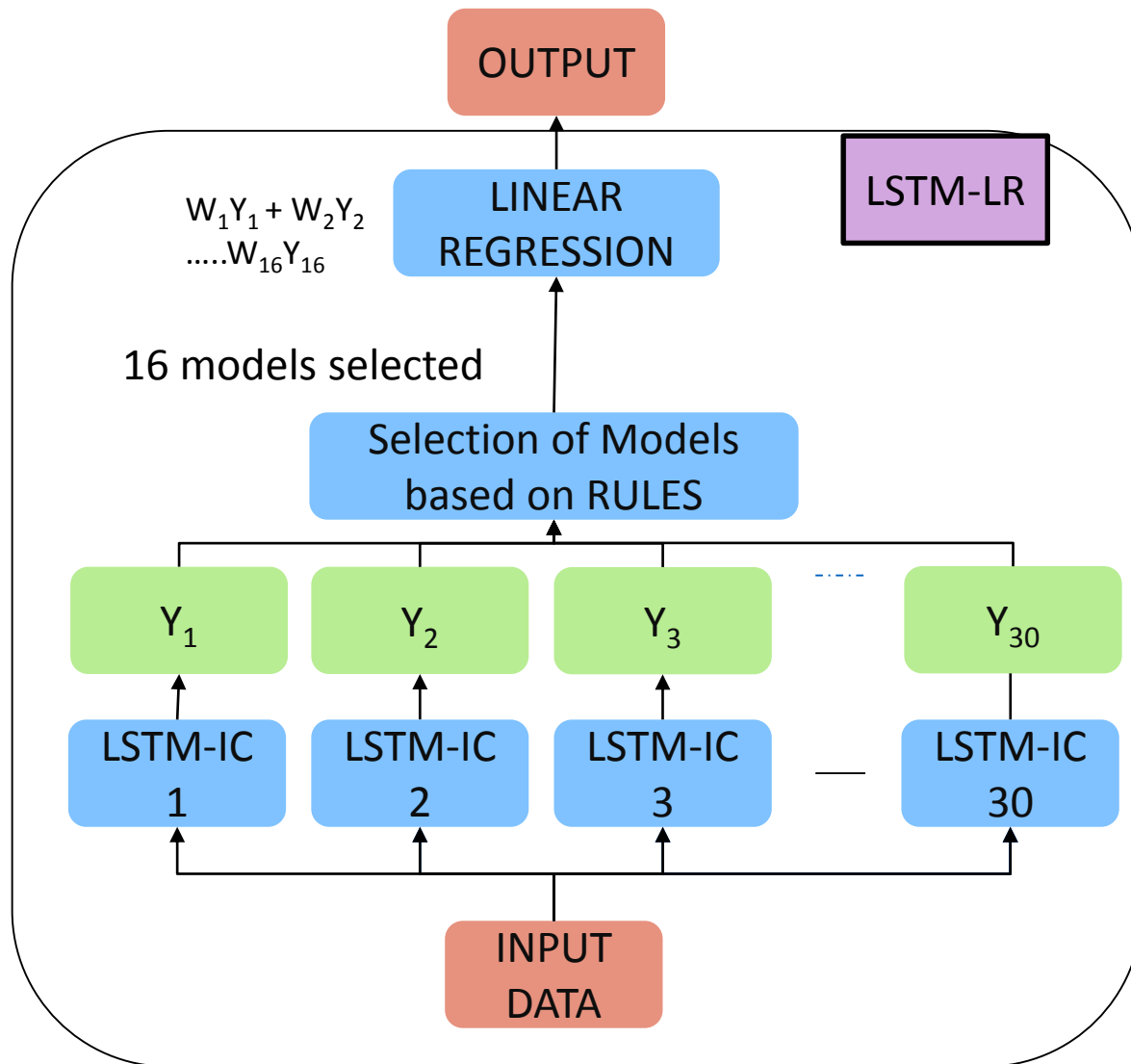
- Component's change point is considered dependent of each other.
- Selected sensors of all components are fed to the network.
- Suitable for high interdependency between components

### Timeliness error table

Method	Train Error	Test Error	Total Error
LSTM (10 sensors)	0.0394	0.08	0.0512
LSTM (stationary sensors)	0.0081	0.021	0.0117
LSTM-ED	0.4423	0.417	0.435
LSTM-MDA	0.0585	0.2385	0.1089



# LSTM- Ensemble Model



## Why Ensemble?

- Stand alone LSTM-IC model had missed and false alarms contributing to high timeliness error.
- Stand alone models have high variance between train and test error
- An ensemble model on top of LSTM-IC is built to minimize the timeliness error

## RULE-1 (Missed Alarms)

- Models unable to identify change point at least once are rejected

## RULE-2 (False Alarms)

- All the models considered for ensemble must predict an alarm, else no alarm.

## ENSEMBLING TECHNIQUES

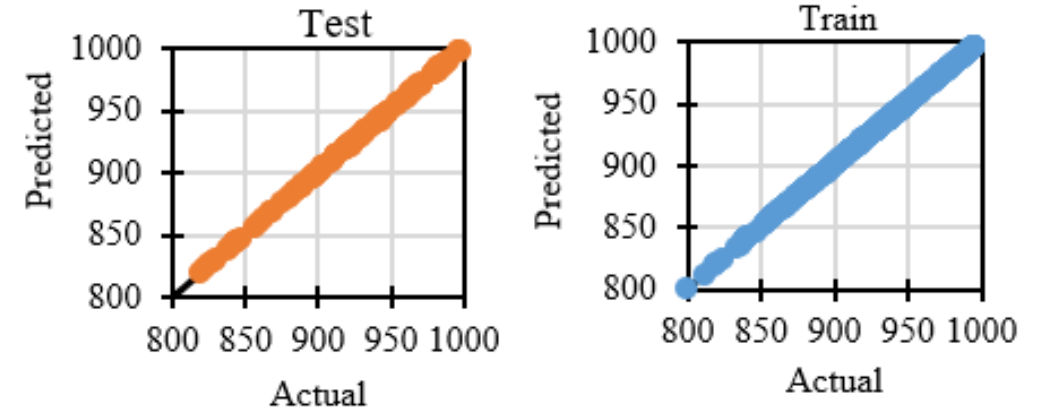
- Median (LSTM-median)
- Linear Regression (LSTM-LR)



# Results

- Timeliness error for all models with a train to test split of systems as 72:28.
- Error for LSTM-IC is lower than LSTM-MDA indicating weak interdependency between components.
- Use of only Stationary sensors reduces error for LSTM-IC by 73%.
- LSTM-median ensemble model decreases the error over LSTM-IC model by 34%.
- LSTM-LR model has the minimum error of 0.0086 on the test data

Parity plots of actual time of change point vs predicted time of change point for LSTM-LR model

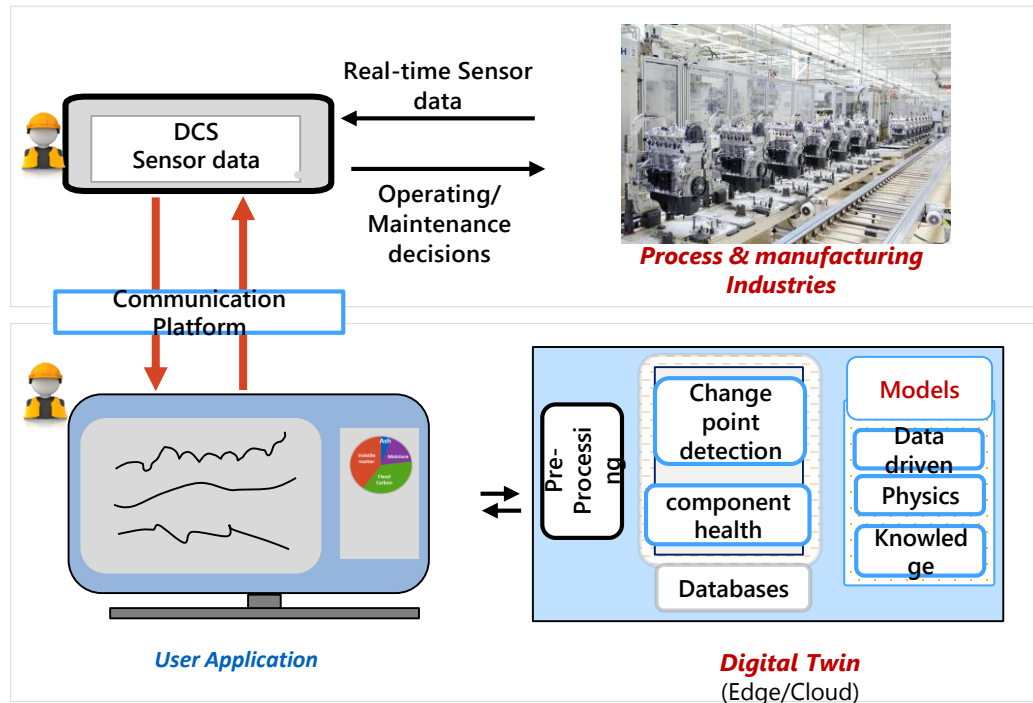


Method	Train Error	Test Error	Total Error
LSTM (10 sensors)	0.0394	0.08	0.0512
LSTM (stationary sensors)	0.0081	0.021	0.0117
LSTM-ED	0.4423	0.417	0.435
LSTM-MDA	0.0585	0.2385	0.1089
LSTM- median	0.0085	0.0137	0.0099
LSTM-LR	0.0084	0.0086	0.0085



## Conclusions

- ✓ Comparison of 3 deep learning methods is done for identifying the change point.
- ✓ LSTM-IC method with stationarity of sensors turns out to have the least error.
- ✓ Linear Regression ensemble on top of LSTM-IC output provides the least error with least variance between train and test.



## Solution as a DIGITAL TWIN

- ✓ Real Time detection of change point/anomaly of industrial equipment
- ✓ Ensure health management of equipment and reduce unplanned shutdowns.
- ✓ Take preventive and corrective measures.
- ✓ Avoid loss in revenue, productivity & reputation

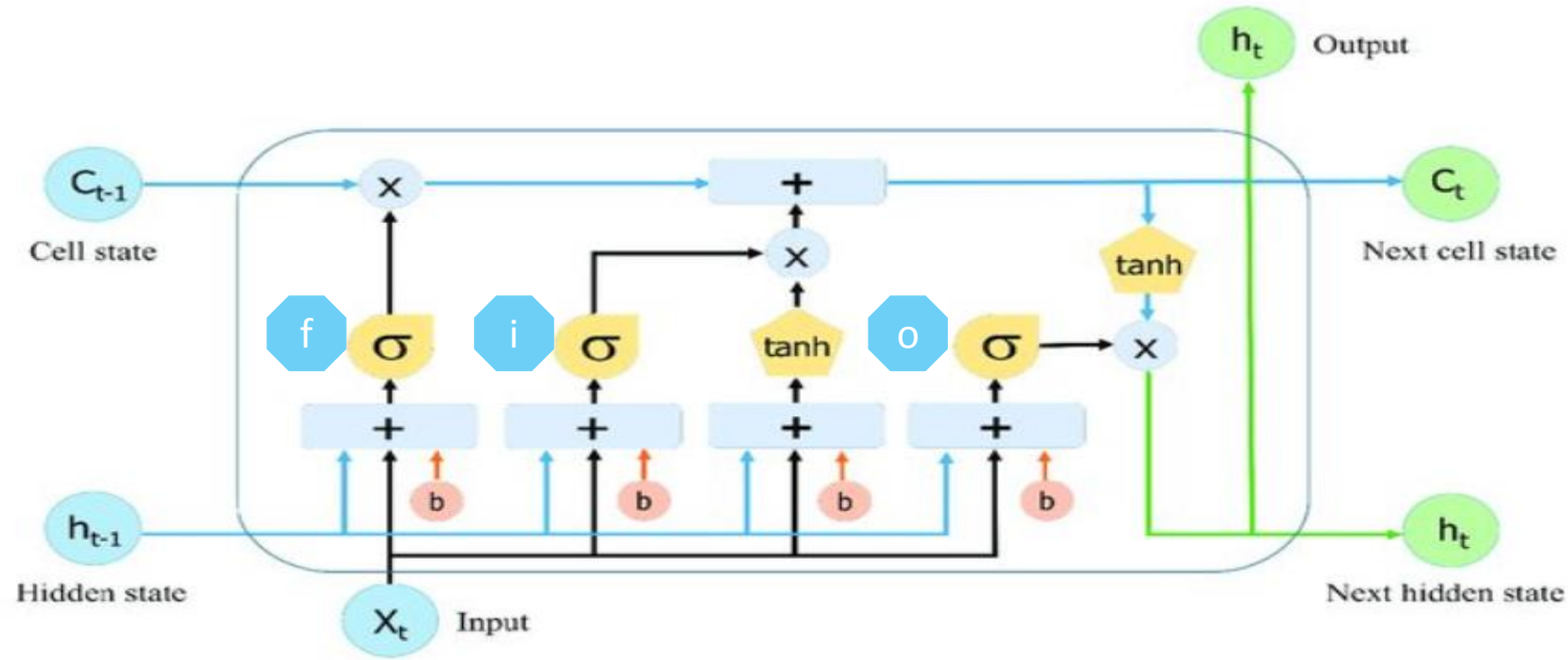


THANK YOU



## ■ APPENDIX

# Long Short-Term Memory



## Inputs:

- $X_t$  Current input
- $C_{t-1}$  Memory from last LSTM unit
- $h_{t-1}$  Output of last LSTM unit

## Outputs:

- $C_t$  New updated memory
- $h_t$  Current output

## Nonlinearities:

- $\sigma$  Sigmoid layer
- $\tanh$  Tanh layer
- $b$  Bias

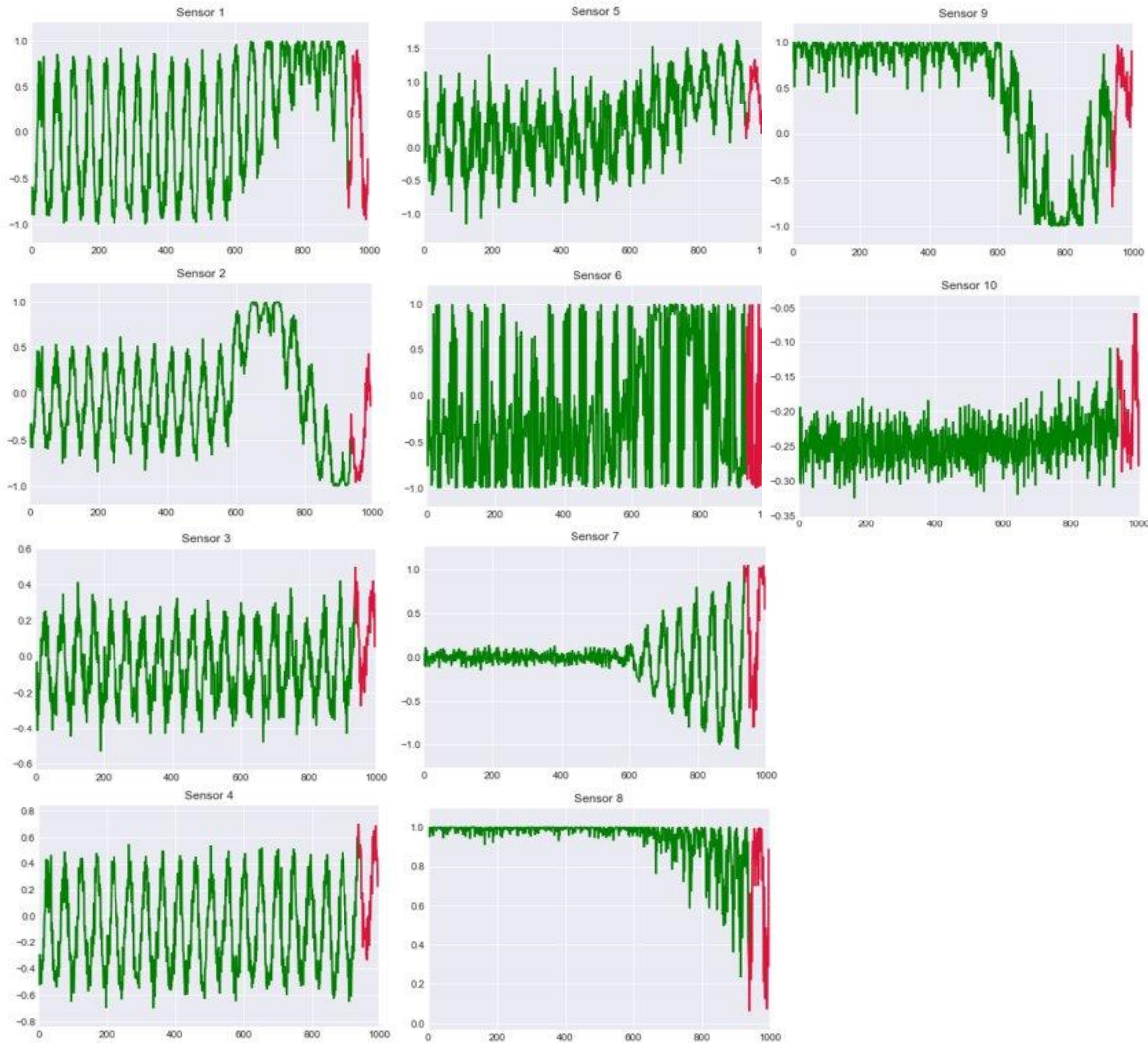
## Vector operations:

- $\times$  Scaling of information
- $+$  Adding information

## Gate classification

- $f$  Forget gate
- $i$  Input gate
- $o$  Output gate

# System Description



## System Description

- 4 identical interconnected components.
- 10 sensors of each component.
- Normal operation indicated by '0' and abnormal by '1'.
- The components either fail before mission time ( $T_m$ ) or remain under normal operation.

## Timeliness Error / Performance Metric

$$\Delta^{j,m} = \begin{cases} \tau^{j,m} - \hat{\tau}^{j,m} & \tau^{j,m} \neq \text{NaN}, \hat{\tau}^{j,m} \neq \text{NaN} \\ 0 & \tau^{j,m} = \text{NaN}, \hat{\tau}^{j,m} = \text{NaN} \\ k_{false} & \tau^{j,m} = \text{NaN}, \hat{\tau}^{j,m} \neq \text{NaN} \\ -k_{missed} & \tau^{j,m} \neq \text{NaN}, \hat{\tau}^{j,m} = \text{NaN} \end{cases} \quad j = 1, \dots, J; m = 1, \dots, M$$

$$A = \frac{1}{4M_{test}} \sum_{m=1}^{M_{test}} \sum_{j=1}^4 \varphi(\Delta^{j,m}) \in [0,1] \quad \begin{aligned} b_1 &= 1/(1 - e^{-T/a_1}) \\ b_2 &= 1/(1 - e^{-T/a_2}) \end{aligned}$$

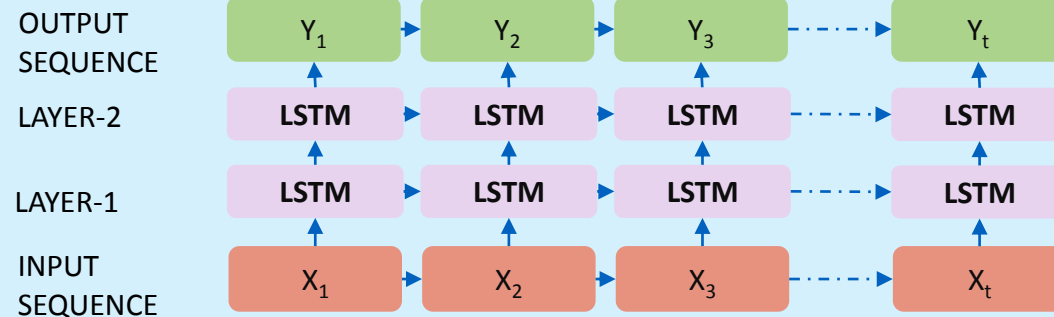
$$\varphi(\Delta^{j,m}) = \begin{cases} \frac{1}{(1 - e^{\Delta^{j,m}/a_1}) b_1} & \Delta^{j,m} < -T \\ \frac{1}{(1 - e^{-\Delta^{j,m}/a_2}) b_2} & -T \leq \Delta^{j,m} < 0 \\ 1 & 0 \leq \Delta^{j,m} \leq T \\ 1 & \Delta^{j,m} > T \end{cases} \quad j = 1, \dots, J; m = 1, \dots, M$$

# Methodology: Long Short-Term Memory

## Method-1

### LSTM-IC Architecture

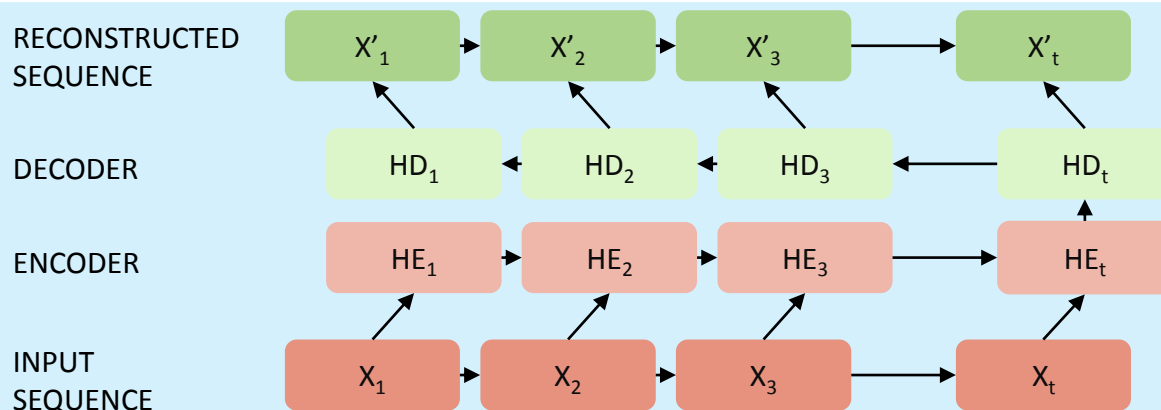
- Component's change point is considered independent of each other.
- Selected sensors of a component are used as input.
- Suitable algorithm for weak to no interdependency between components



## Method-2

### LSTM-ED Architecture

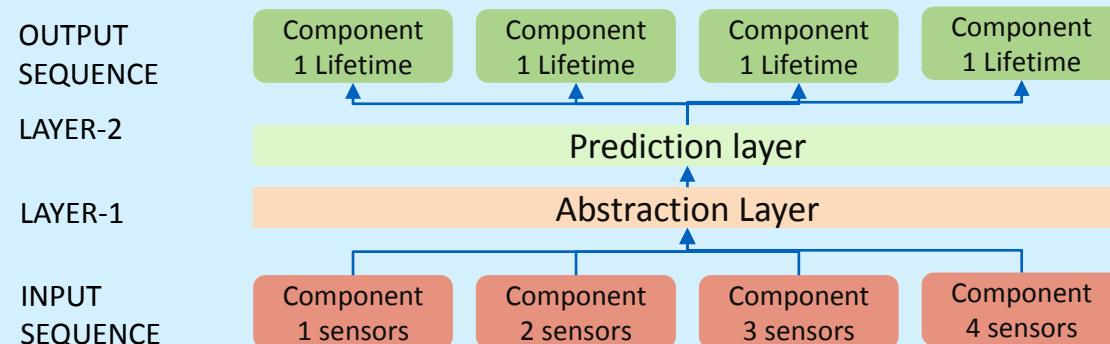
- Component's change point is considered independent of each other.
- Data obtained before the change point of all the sensors is reconstructed.



## Method-3

### LSTM-MDA Architecture

- Component's change point is considered dependent of each other.
- Selected sensors of all components are fed to the network.
- Suitable for high interdependency between components







Method	Model Names	Train Error	Test Error	Total Error
LSTM (10 sensors)	Model 1	0.0394	0.08	0.0512
LSTM (stationary sensors)	Model 2	0.0081	0.021	0.0117
LSTM-ED	Model 3	0.4423	0.417	0.435
LSTM-MDA	Model 4	0.0585	0.2385	0.1089
LSTM- median	Model 5	0.0085	0.0137	0.0099
LSTM-LR	Model 6	0.0084	0.0086	0.0085